

## IMMUNOLOGY

# A small sustained increase in NOD1 abundance promotes ligand-independent inflammatory and oncogene transcriptional responses

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Small, genetically determined differences in transcription [expression quantitative trait loci (eQTLs)] are implicated in complex diseases through unknown molecular mechanisms. Here, we showed that a small, persistent increase in the abundance of the innate pathogen sensor NOD1 precipitated large changes in the transcriptional state of monocytes. A ~1.2- to 1.3-fold increase in NOD1 protein abundance resulting from loss of regulation by the microRNA cluster miR-15b/16 lowered the threshold for ligand-induced activation of the transcription factor NF- $\kappa$ B and the MAPK p38. An additional sustained increase in NOD1 abundance to 1.5-fold over basal amounts bypassed this low ligand concentration requirement, resulting in robust ligand-independent induction of proinflammatory genes and oncogenes. These findings reveal that tight regulation of NOD1 abundance prevents this sensor from exceeding a physiological switching checkpoint that promotes persistent inflammation and oncogene expression. Furthermore, our data provide insight into how a quantitatively small change in protein abundance can produce marked changes in cell state that can serve as the initiator of disease.

## INTRODUCTION

The innate immune system uses a network of pattern recognition receptors to sense pathogens or danger signals and mount an inflammatory response for host defense. Although this ability to detect and respond to microbes or tissue damage is essential to ward off infections and trigger wound healing programs, unrestrained inflammation can lead to various diseases. Chronic low-level stimulation of the immune system can enforce mechanisms that lead to exaggerated reactivation responses (1) and cause uncontrolled tissue inflammation in genetically predisposed individuals, resulting in autoimmunity, autoinflammatory diseases, and cancers (2, 3).

Several mechanisms may underlie or predispose to chronic inflammation, and their contributions are yet to be resolved. In many cases, complex immune-related diseases are linked in genome-wide association studies (GWAS) to causal variants located primarily in noncoding regions of genes, generating eQTLs (expression quantitative trait loci). The extent of expression variation between susceptible and resistant genotypes is often in the 1.5- to 3-fold range, suggesting that small changes in gene or gene product expression might play an important role in functional immune dysregulation (4). Although changes in protein concentration or activity due to defects in single genes have been implicated in haploinsufficiencies and monogenic autoinflammatory or neurodegenerative syndromes (5), little information exists about whether modest changes in protein concentration of the type expected with cis- or trans-eQTL effects can lead to

pathological changes in cell behavior that may promote eventual tissue or organ dysfunction. This latter question has special relevance for inflammation, which, if sustained over time, causes tissue damage and can also promote oncogenesis and, for the latter, facilitate the transformation process itself (6). Disease-promoting genomic modifications cluster upstream of functional master regulator proteins whose abnormal activity is necessary and sufficient for propagating a tumor cell state (7). The master regulator activity can be controlled posttranscriptionally and may be dysregulated, for example, by modulation of microRNA (miRNA) activity (8, 9), suggesting that small changes in protein expression of master regulators may induce cellular transformation to a diseased state.

NOD1 is a ubiquitously expressed intracellular innate sensor of microbial infection that senses meso-diaminopimelic acid (iE-DAP, a component of bacterial peptidoglycan) (10, 11) and pathogen-induced alterations in cell state (12, 13) to trigger the induction of proinflammatory genes through nuclear factor  $\kappa$ B (NF- $\kappa$ B) and mitogen-activated protein kinase (MAPK). NOD1 activity is also intimately linked to gastric cancer. In some studies, genetic variants in *NOD1* are associated with gastric cancer risk and NOD1 expression is increased in gastric tumors (14, 15). In addition, chronic inflammation triggered by activation of NOD1 by *Helicobacter pylori* is an initiating event in gastric cancer (16). Proinflammatory cytokines including members of the tumor necrosis factor (TNF) and interleukin-1 (IL-1) family contribute to tumor development in the gastric mucosa by promoting cell proliferation (17), development of an immunosuppressive microenvironment (18), and immune escape (19), suggesting that chronic inflammation can promote oncogenesis by multiple mechanisms.

Maintaining expression of innate sensors near a switching point to ensure a rapid and sensitive response to early signs of infection makes sense in evolutionary terms, but carries with it a requirement for tight regulation of the sensor signaling system and the risk of inadvertent activation if such regulation is disturbed. During a study

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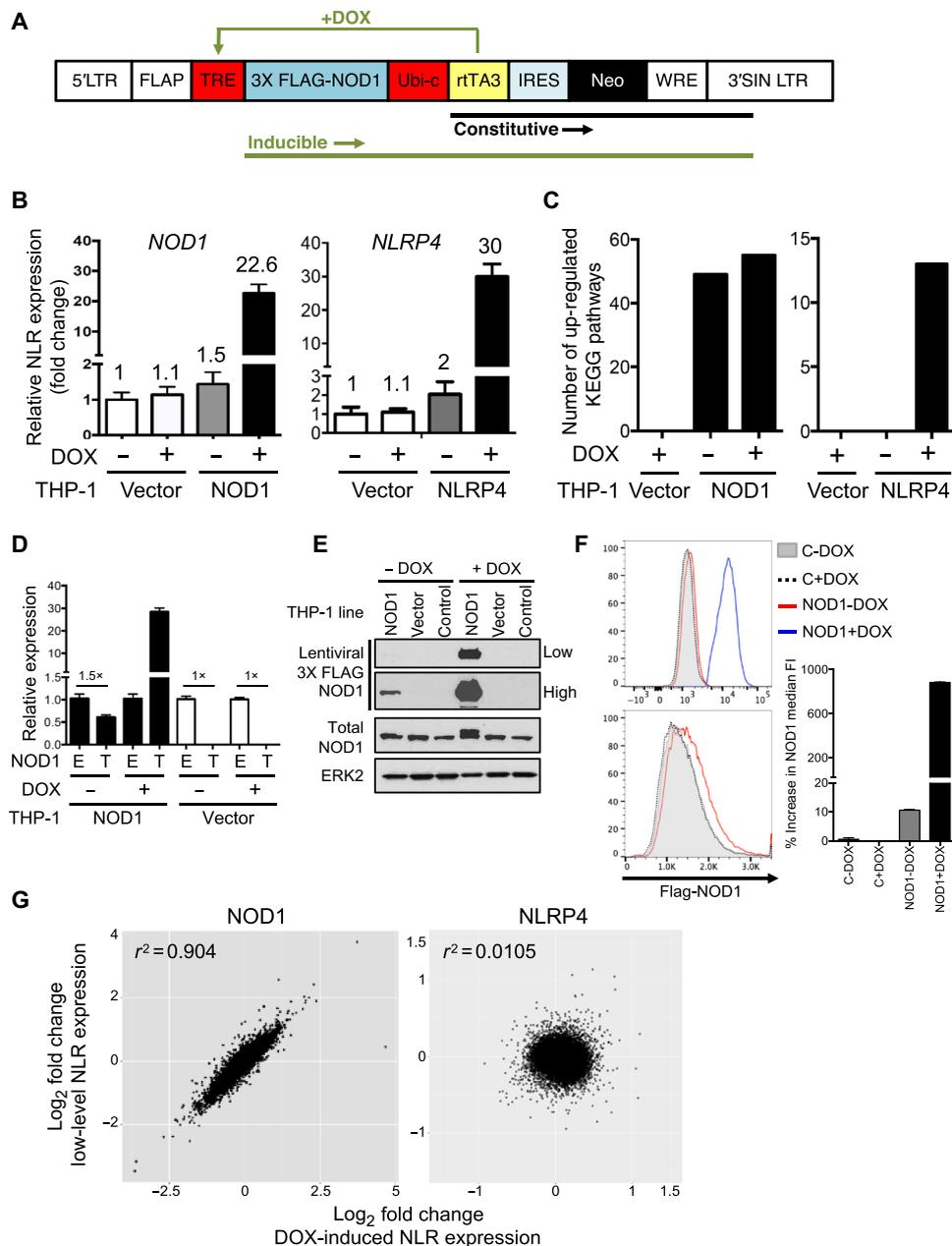
of NOD1 signaling, we discovered that small but persistent increases in NOD1 expression spontaneously lead to large-scale gene activation. A big data approach further revealed that *NOD1* is the most tightly regulated of those genes encoding innate sensors and suggested a physiological necessity to keep NOD1 expression under stringent control. Here, we showed that a prolonged small (~1.5-fold) increase in NOD1 concentration within cells up-regulated inflammatory genes and oncogenes in the absence of ligand exposure and identified an miRNA-based circuit for stringent control of NOD1 expression in human monocytes. Our data highlight one way by which small changes in gene expression may affect chronic inflammation. These findings also suggest a mechanism that could promote cell-intrinsic oncogenic activity, suggesting that these observations have broad implications for understanding how small expression changes caused by eQTLs may shape the development of complex diseases like autoimmunity and cancer.

## RESULTS

### A persistent small (1.5-fold) increase in NOD1 leads to large-scale gene activation

With an initial aim of investigating the quantitative relationship between NOD1 expression and its effects on cell state, we established a stable, lentiviral expression system in which 3X-FLAG-tagged *NOD1* coding sequence was transcribed under the control of an inducible tetracycline promoter [tetracycline response element (TRE)] in THP-1, a human monocyte cell line (THP-1 NOD1 cells) (Fig. 1A). As controls, cells transduced with 3X-FLAG NLRP4 (THP-1 NLRP4 cells) or empty lentiviral vector (THP-1 Vector cells) were derived. NLR expression was induced with doxycycline (DOX; an analog of tetracycline), and changes in cellular transcriptome were analyzed by microarray. As expected, addition of DOX led to robust up-regulation of *NOD1* and *NLRP4* expression (Fig. 1B). The microarray data were further subjected to pathway analysis using GAGE (Generally Applicable Gene-set Enrichment) (20), with pathway information derived from KEGG (Kyoto Encyclopedia of Genes and Genomes) (21). Multiple pairwise comparisons were conducted to exclude any effects of DOX (22) or the lentiviral

expression vector (fig. S1). However, even in the absence of DOX, THP-1 NOD1 cells up-regulated the expression of genes in a large number of cellular pathways similar to that seen upon DOX-induced



**Fig. 1. NOD1 exhibits a switch-like behavior.** (A) Lentiviral system for DOX-inducible expression of NOD1 in THP-1 cells. (B) Microarray expression of *NOD1* and *NLRP4* in THP-1 NOD1, NLRP4, and Vector cells treated with (+) or without (-) DOX for 6 hours.  $n = 4$  biological replicates per condition. (C) Number of KEGG pathways up-regulated in the indicated THP-1 cell lines treated as in (B). (D) qPCR showing expression of endogenous NOD1 (E) or TRE-driven FLAG-tagged NOD1 (T) in the indicated THP-1 lines treated with or without DOX for 6 hours. Labels above bars indicate total NOD1 expression. (E and F) Immunoblot (E) and flow cytometry (F) (plots: left; quantification: right) for NOD1 protein in the indicated THP-1 lines with or without DOX. FACS plots show NOD1 expression on a log scale (top) and a linear scale (bottom). FI, fluorescence intensity. (G) Correlation plot of genes differentially expressed (fold change > 1) in THP-1 NOD1 (left) and THP-1 NLRP4 (right) cells treated as in (B). In (E), "Control" refers to THP-1 cells with no lentivirus transduction. Data in (D) (qPCR), (E) (immunoblot), and (F) (FACS) are representative of at least three independent experiments, and the bar graph in (F) includes pooled data from two independent experiments. Error bars are means  $\pm$  SEM of four biological replicates.

strong overexpression of *NOD1* in the absence of ligand (Fig. 1C and data file S1). We define these changes as “maximal” or “saturating” in the remainder of the paper. This behavior was not observed with THP-1 NLRP4 cells or THP-1 vector-transduced cells treated with or without DOX, suggesting that it was not a general feature of NLRs, DOX treatment, or transduction of cells with the lentiviral vector per se. Even in the absence of DOX, there was a ~1.5-fold increase in *NOD1* and ~2-fold increase in *NLRP4* mRNA in the NLR-transduced cells, as compared to their endogenous levels in the parent cells or the control lentivirus-transduced cells (Fig. 1B). Although we did not anticipate this result at the conception of the study, this small increase in NLR expression was consistent with previous reports showing that even in the absence of tetracycline the reverse Tet transactivator (rtTA3) binds weakly to the TRE promoter, leading to a low level of background activity (22). Quantitative reverse transcription polymerase chain reaction (RT-PCR) using primers specific for endogenous *NOD1* or 3X-FLAG *NOD1* (table S1) confirmed that THP-1 NOD1 cells showed a small (0.5-fold) increase in expression of TRE-driven *NOD1* mRNA compared to THP-1 vector-transduced cells in the absence of DOX without affecting endogenous *NOD1* (Fig. 1D). Low-level expression of 3X-FLAG *NOD1* in the absence of DOX was also observed at the protein level by immunoblot at high exposures and by flow cytometry (Fig. 1, E and F). Our flow cytometry data (Fig. 1F) showed that the small increase in *NOD1* expression was distributed across the population, suggesting that the gene expression effects were not due to “jack-potting” with very high expression of *NOD1* in only some of the THP-1 cells and emphasizing that it was the modest 1.5-fold increase in *NOD1* concentration that underlies the response phenomenon. Correlation analysis revealed a high concordance in genes differentially expressed upon low-level (that is, in the absence of DOX) and DOX-induced expression of *NOD1* ( $r^2 = 0.9$ ) but not in genes differentially expressed between low-level and DOX-induced expression of *NLRP4* ( $r^2 = 0.01$ ) (Fig. 1G). These data suggest that a persistent small (1.5-fold) increase in expression of *NOD1* leads to saturating gene activation in a ligand-independent, switch-like manner.

Several proinflammatory genes and negative feedback regulators associated with ligand-induced activation of *NOD1* including *IL1B*, *JUN*, *NFKB1*, *NFKBIA/IκBα*, and *TNFAIP3/A20* (23) were up-regulated in cells that had sustained 1.5-fold increase in *NOD1* expression (data file S2 and fig. S2A). This finding suggests that ligand-free activation of *NOD1* by 1.5-fold overexpression triggers the expression of classical ligand-dependent inflammatory genes. Furthermore, native gel analysis showed that persistent 1.5-fold overexpression of *NOD1* induced its oligomerization similar to that seen upon ligand treatment of cells with normal levels of *NOD1* (fig. S2B). Together, these data indicate that *NOD1* may be activated in a switch-like manner whereby a persistent small increase in its expression at or above a 1.5-fold threshold of expression mimics full activation.

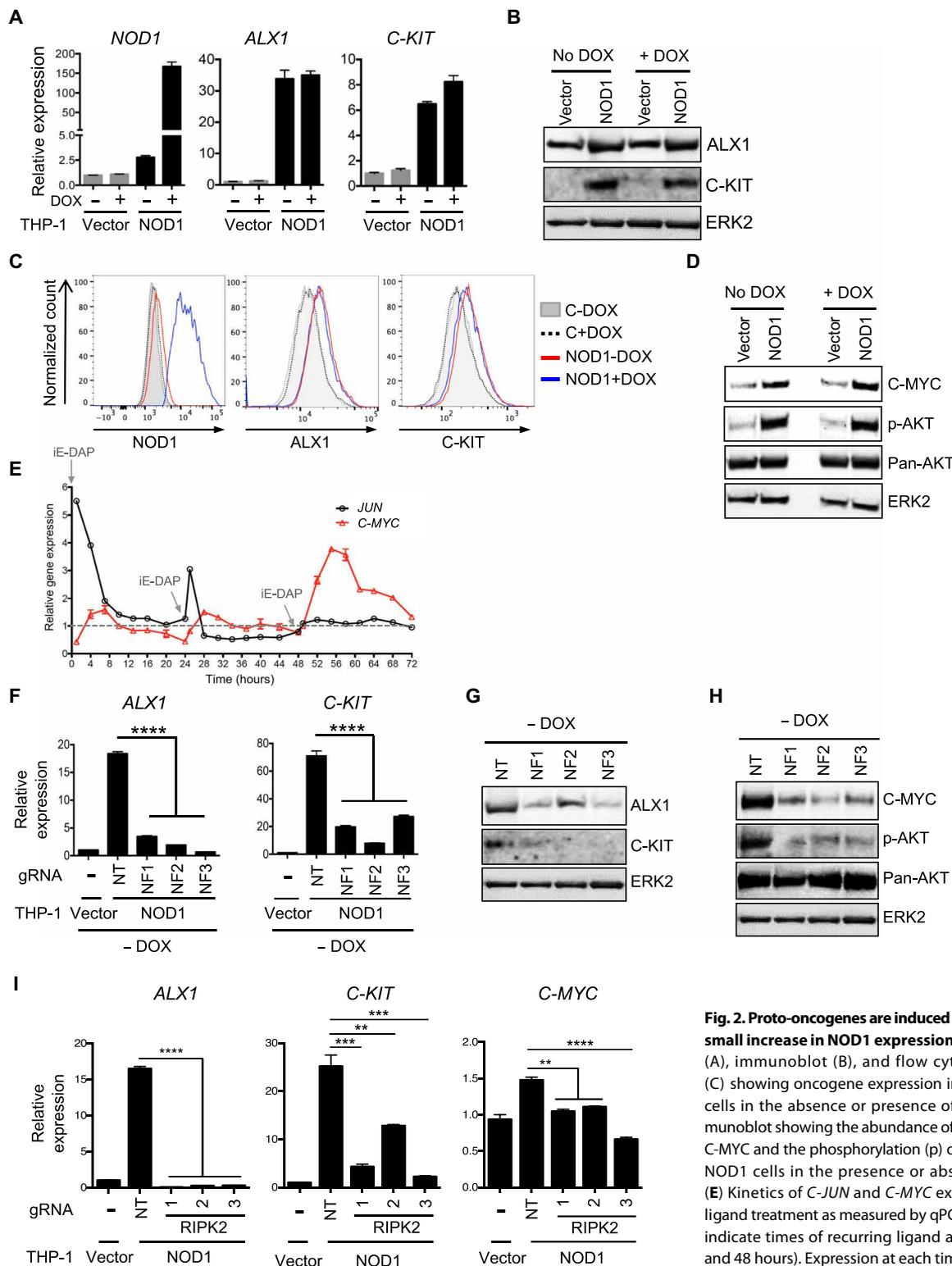
### A prolonged small increase in *NOD1* also triggers an oncogene transcriptional response

In addition to proinflammatory genes, several genes including proto-oncogenes (*ALX1*, *C-KIT*, *CAV1*, *CNN2*, *CD68*, and *GPX8*) not conventionally associated with *NOD1* activation were highly correlated with *NOD1* expression ( $r^2 > 0.7$ , fold change  $\geq 4$ ) (Fig. 1G and fig. S3A) (24–27). This finding indicated that a sustained small increase in *NOD1* could lead to gene activation related to cell transformation

processes, in addition to the elaboration of proinflammatory mediators. Quantitative RT-PCR, immunoblot, and flow cytometry-based analysis showed that low-level persistent expression of *NOD1* was sufficient to induce maximal expression of the oncogenes *ALX1* and *C-KIT*, similar to that observed upon DOX-induced up-regulation of *NOD1* (Fig. 2, A to C). Similar to *NOD1*, the increase in *ALX1* and *C-KIT* was distributed across the cell population (Fig. 2C), indicating that effects on gene expression were not due to high expression in only a subset of cells and that it was the small increase in *NOD1* protein concentration that achieved a maximal increase in these oncogenic proteins. To determine whether a small increase in *NOD1* also activated other mediators of oncogenesis, we analyzed the expression of C-MYC and activation (that is, phosphorylation) of AKT that are considered to be major drivers in the pathogenesis of many cancers (28, 29). Phosphorylation of AKT and expression of C-MYC were both increased in cells that had a persistent small increase in *NOD1* (Fig. 2D). Trace peptidoglycan contaminants in serum can contribute to *NOD1*-dependent signaling in cell culture work (30). However, we observed similar *NOD1*-dependent expression of oncogenes and inflammatory genes (fig. S3, B and C) and similar degradation of *IκBα* regardless of the presence or absence of serum (fig. S3D), indicating that effects on gene expression observed in response to low-level *NOD1* overexpression were independent of trace levels of peptidoglycan present in fetal calf serum (FCS). An exception was *C-KIT* whose expression was induced to a much lower but significant level in serum-free medium compared to serum-containing medium, in line with previous work showing that serum factors can promote *C-KIT* expression (31). Collectively, these data suggest that a sustained 1.5-fold increase in *NOD1* can up-regulate oncogenic processes independent of ligand triggering.

We next asked whether long-term ligand stimulation could mimic the effect of a prolonged 1.5-fold increase in *NOD1* in causing pathological gene induction. We stimulated THP-1 cells repeatedly with ligand and monitored the expression of *JUN* as a classical ligand-responsive gene and *C-MYC* as a representative oncogene. As expected, ligand treatment led to acute up-regulation of *JUN* expression as early as 1 hour followed by a gradual tolerization by 48 to 72 hours, with decreasing but substantial levels of *JUN* induction in response to recurrent ligand stimulation (Fig. 2E). A similar trend was observed with the other acute ligand-responsive genes, *IL1B* and *TNFAIP3* (which encodes A20) (fig. S4). The tolerization effect was also mirrored in cells with persistent 1.5-fold overexpression of *NOD1*, which showed significantly elevated but lower abundance of these inflammatory gene transcripts compared to that observed at peak ligand stimulation (fig. S2A). Kinetically, up-regulation of C-MYC after recurrent ligand stimulation always followed peak *JUN* induction and showed a delayed surge between 52 and 60 hours (Fig. 2E). These data suggest that prolonged ligand activation of *NOD1* can lead to oncogene induction. The later surge in *C-MYC* may reflect a time delay cell-intrinsic switch to *C-MYC* induction or alternatively may suggest a mechanism whereby chronic inflammation leads to pathologic gene activation.

We next sought to determine whether persistent 1.5-fold overexpression of *NOD1* was necessary and sufficient for the up-regulation of pathologic transcriptional responses. We specifically ablated TRE-driven 3X-FLAG *NOD1* overexpression in THP-1 *NOD1* cells using CRISPR-Cas9-based gene editing while leaving expression of endogenous *NOD1* intact (effectively restoring *NOD1* expression in these cells from 1.5-fold to basal values). We validated CRISPR



**Fig. 2. Proto-oncogenes are induced by a sustained small increase in NOD1 expression.** (A to C) qPCR (A), immunoblot (B), and flow cytometry plots (C) showing oncogene expression in THP-1 NOD1 cells in the absence or presence of DOX. (D) Immunoblot showing the abundance of the oncogene *C-MYC* and the phosphorylation (p) of AKT in THP-1 NOD1 cells in the presence or absence of DOX. (E) Kinetics of *C-JUN* and *C-MYC* expression after ligand treatment as measured by qPCR. Gray arrows indicate times of recurring ligand addition (0, 24, and 48 hours). Expression at each time point is represented relative to the untreated control at that time point (dashed line set to 1). (F to H) qPCR (F) and immunoblots (G and H) for the indicated genes in THP-1 NOD1 cells after CRISPR-Cas9-mediated ablation of FLAG-NOD1 in three independent single-cell clones (NF1, NF2, and NF3). (I) qPCR for the indicated genes in THP-1 NOD1 cells after CRISPR-Cas9-mediated ablation of *RIPK2* in three independent single-cell clones. NT, nontargeting gRNA; NF, gRNA targeting FLAG-NOD1; RIPK2, gRNA targeting *RIPK2*. Data in (A) to (I) are representative of three independent experiments. Error bars on graphs are means  $\pm$  SEM of at least three biological replicates and, where not visible in (E), are shorter than the height of the symbol. *P* values from an unpaired *t* test (two-tailed) without assuming a consistent SD are shown. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001, \*\*\*\**P* < 0.0001.

targeting in three independent single-cell clones by sequencing and observed a unique indel in each clone that resulted in early termination of FLAG-*NOD1* (fig. S5, A and B). Ablation of FLAG-*NOD1* reduced the expression of *ALX1*, *C-KIT*, and *C-MYC* and the phosphorylation of AKT (Fig. 2, F to H), indicating that the sustained low-level increase in *NOD1* expression caused the up-regulation of these genes and of AKT activity. Inflammatory NF- $\kappa$ B and MAPK signaling in response to *NOD1* activation requires the adaptor protein RIPK2. To determine whether RIPK2 was required for the abnormal transcriptional signature observed in response to a sustained 1.5-fold increase in *NOD1*, we deleted *RIPK2* in THP-1 *NOD1* cells by gene editing and derived three independent single-cell clones with unique indels in *RIPK2* that disrupted gene expression (fig. S5, C and D). Deletion of *RIPK2* reduced the expression of oncogenes to near-baseline levels (Fig. 2I), indicating that signaling through RIPK2 is responsible for pathologic gene induction in cells with a persistent 1.5-fold increase in *NOD1*.

### ***NOD1* is the most tightly regulated human innate sensor**

The finding that a sustained small increase in *NOD1* abundance could lead to disproportionately large increases in gene expression prompted us to compare the regulation of *NOD1* expression to that of other innate immune sensors. We analyzed publicly available gene expression data from >70,000 samples from the Gene Expression Omnibus (GEO) database corresponding to five widely used human microarray platforms (GPL96, GPL97, GPL571, GPL5175, and GPL6480; table S2) and concluded that *NOD1* exhibits the least variation in expression among genes encoding known innate sensors regardless of the experimental context. Unlike traditional microarray analysis, which often focuses on studying specific cell types or diseases, our approach of calculating expression variance drew strength from combining publicly available data from diverse experimental conditions. To make datasets generated in different laboratories cross comparable, genome-wide expression data from each sample were converted to robust *z* scores (Fig. 3A). For each available probe corresponding to the innate sensors, the variability in distribution of *z* score across all the samples was estimated from the SD. From this unbiased analysis, probes targeting the *NOD1* gene consistently showed minimal deviation in gene expression across all microarray platforms (Fig. 3B), implying that *NOD1* expression is under especially stringent control.

### **miRNAs tightly control *NOD1* expression and are controlled by *NOD1* activation**

Because a small increase in *NOD1* protein amount per cell leads to saturating changes in gene expression and *NOD1* gene expression is also very tightly regulated, we next sought to uncover the mechanisms of *NOD1* expression control. One class of regulatory elements in the human genome that can exert tight but subtle control of protein abundance usually in the less than twofold range (32) are small non-coding RNAs called miRNAs. Correlation analysis showed that the expression of the pre-miRNAs mir-15b, mir-16-1, mir-16-2, and mir-106a was negatively correlated with the expression of *NOD1* but not that of *NOD2* or *NLRP4* (Fig. 4A and data file S3). Furthermore, the mature forms of these miRNAs (miR-15b, miR-16, and miR-106a) were predicted to bind to the 3' untranslated region (3'UTR) of *NOD1* in two independent miRNA target prediction databases, TargetScan and miRanda [fig. S6A; note that the seed regions in miR-15b and miR-16 that are complementary to the *NOD1* 3'UTR are identical (33)]. Consistent with the negative correlation,

quantitative RT-PCR showed that expression of miR-15b, miR-16, and miR-106a was reduced in THP-1 *NOD1* cells and, moreover, that miR expression was reduced to a similar extent in cells with low-level expression of *NOD1* or DOX-induced overexpression of *NOD1* when compared to empty vector cells (Fig. 4B). The effects on miR expression were similar regardless of the presence or absence of serum in culture medium (fig. S6B). Expression of miR-191, an endogenous control, was unchanged.

To test whether the observed reduction in miRNA abundance under conditions of low-level *NOD1* expression was due to *NOD1* activation, we analyzed expression of miRNAs upon activation of *NOD1* with the ligand iE-DAP in nontransduced, control THP-1 cells. Such activation triggers self-oligomerization of endogenous *NOD1*, resulting in the formation of a protein complex called the nodosome that activates NF- $\kappa$ B and MAPK. Treatment with iE-DAP led to an early decrease in miRNA expression by 60 min that was followed by a restoration of miRNA expression at later times (Fig. 4C); this later upswing may be indicative of a ligand-induced negative feedback response. In a reciprocal manner to the miRNAs, *NOD1* expression increased slightly early after ligand stimulation, after which *NOD1* decreased at later times at both the RNA (Fig. 4C) and protein level (Fig. 4D), which coincided with an increase in miR-15b, miR-16, and miR-106a expression. Activation of NF- $\kappa$ B showed similar kinetics and peaked by 60 min after iE-DAP stimulation followed by a decrease by 4 hours (fig. S6C). These data suggest that ligand activation of *NOD1* leads to a transient decrease in miRNA expression.

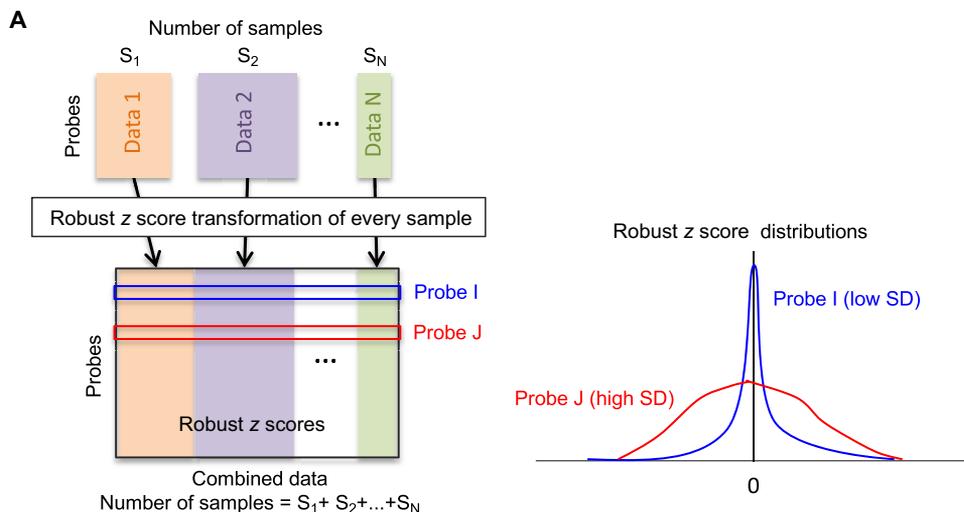
Because miR-15b, miR-16, and miR-106a were predicted to bind the 3'UTR of *NOD1* (fig. S6A), we next examined whether these kinetic relationships, in turn, represented miRNA control of *NOD1* expression. To test this, we used highly specific locked nucleic acids (LNAs) to achieve short-term inhibition of miR activity in THP-1 cells and observed a small (20 to 30% or 1.2- to 1.3-fold) increase in *NOD1* protein levels in cells transfected with miR-15b, miR-16, or miR-106a LNA compared to cells transfected with control LNA (Fig. 4, E and F). LNA targeting miR-191 had no effect. This phenomenon was also observed in primary CD14<sup>+</sup> monocytes isolated from human blood, although miR-106a had a lesser effect compared to miR-15b and miR-16 in these cells (fig. S6, D and E). Based on TargetScan and Miranda, binding sites for miR-15b/16 and miR-106a in the *NOD1* 3'UTR are not conserved between humans and mice. Mouse *Nod1* was not predicted to be targeted by these miRNAs, and consistent with this prediction, inhibition of miRNA activity in mouse bone marrow-derived macrophages (BMDMs) did not result in an increase in *NOD1* protein levels (fig. S6F) despite abundant expression of these miRNAs in mouse cells at levels similar to those in THP-1 cells (fig. S6G). These data indicate that miR-15b/16 and miR-106a control of *NOD1* protein expression is specific to human cells.

### **miR-15b/16 act directly on the *NOD1* 3'UTR**

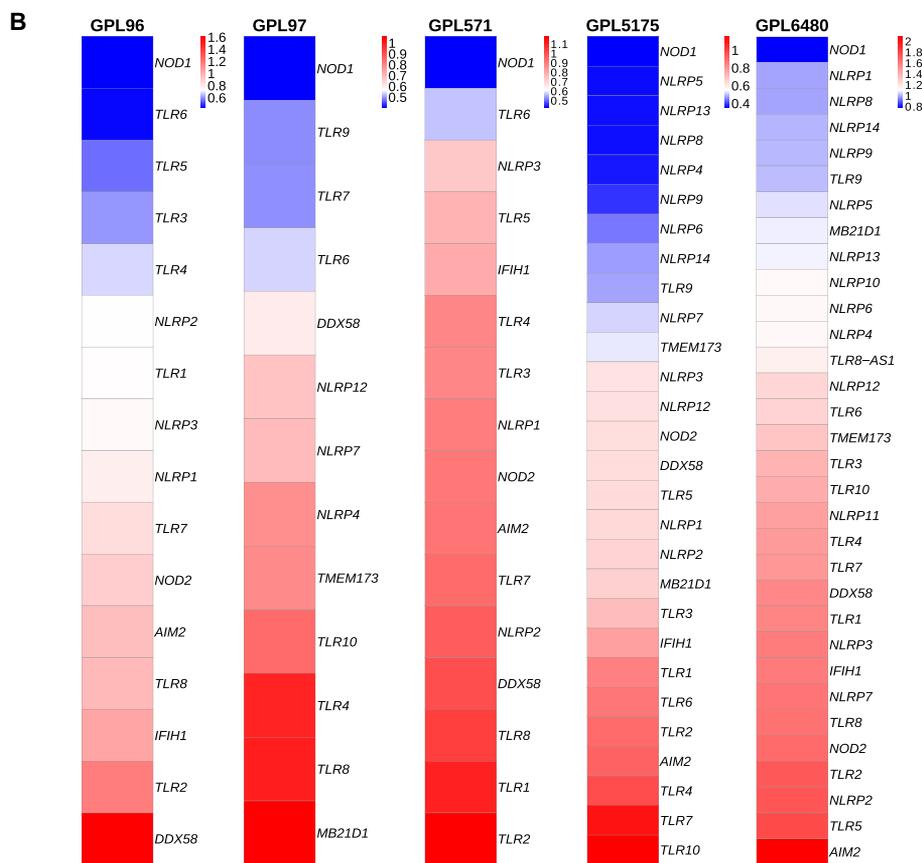
miRNAs can bind the 3'UTRs of multiple genes. To test whether the observed effects of miRNAs on *NOD1* expression directly depended on their predicted binding site in the *NOD1* 3'UTR, we used a luciferase reporter assay system in which luciferase activity was controlled by an intact *NOD1* 3'UTR [wild type (WT)] or *NOD1* 3'UTRs in which miR binding sites had been mutated (Fig. 5A). Compared to a minimal 3'UTR, the WT *NOD1* 3'UTR showed reduced luciferase activity when transfected into human embryonic kidney (HEK)-293 cells. Mutation of only the miR-15b/16 binding site (miR-15b/16mut) almost completely rescued this effect, and to an extent similar to

**Fig. 3. *NOD1* shows minimal change in expression regardless of experimental context.** (A) Schematic for analysis of publicly available microarray data from the GEO database.

Genome-wide expression data from 70,753 samples from five different microarray platforms, namely, GPL96, GPL97, GPL571, GPL5175, and GPL6480, were analyzed and transformed into robust z scores. For each probe corresponding to innate sensors, the variability in distribution of z score across all samples was estimated from the SD. Probe I and probe J refer to probes for two hypothetical genes on the microarray with low and high SD in gene expression, respectively. (B) Heatmaps showing SD in expression of innate sensors from the analyses conducted in (A). Genes are arranged in ascending order of SD from top to bottom (blue to red). Not all genes are present on all microarray platforms; for each platform, only genes for which probes were present on that platform are shown.



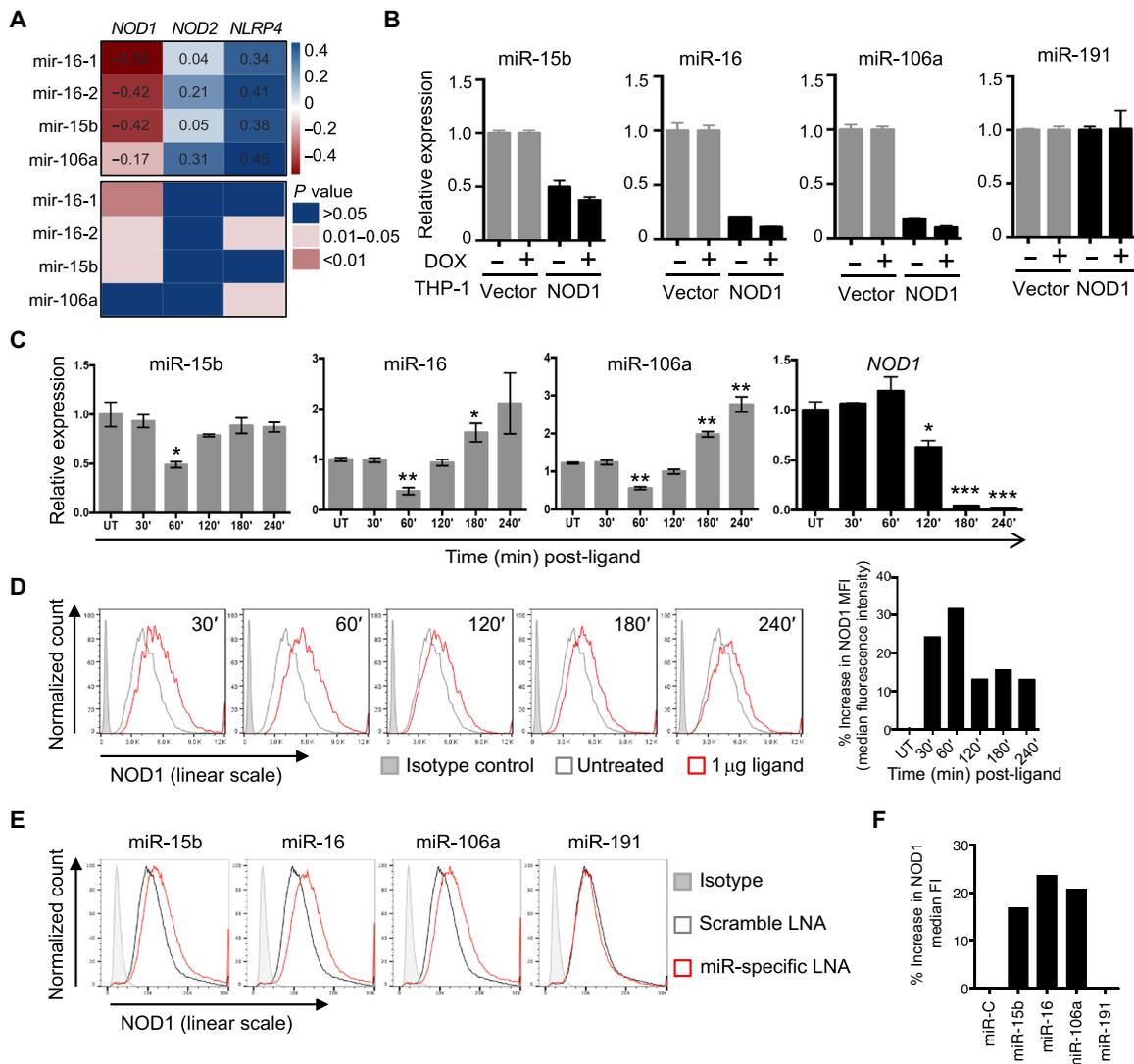
that seen upon mutation of both the miR-15b/16 and miR-106a binding sites (miR-mut) in the *NOD1* 3'UTR (Fig. 5B). Cotransfection of luciferase constructs with miR-15b or miR-16 mimics inhibited luciferase activity of the WT *NOD1* 3'UTR (Fig. 5C, left) but, as expected, had no effect on *NOD1* 3'UTRs in which either the miR-15b/16 binding site or all miR binding sites had been mutated (Fig. 5C, middle and right). Consistent with these data, LNA inhibitors to miR-15b or miR-16 increased luciferase activity of the WT *NOD1* 3'UTR (Fig. 5D). On the other hand, neither miR-106a mimic nor LNA inhibitor had an effect on luciferase activity of the WT *NOD1* 3'UTR (Fig. 5, C, left, and D). This result was in contrast to our observation that miR-106a LNA increases the expression of endogenous *NOD1* (Fig. 4E). We therefore think that the effect of miR-106a on regulating endogenous *NOD1* may involve long-range interactions dictated by the secondary and tertiary structure of the full-length mRNA that includes the *NOD1* coding region, 3'UTR and 5'UTR. Because luciferase reporters are minimalistic and only test the effect of *NOD1* 3'UTR, such long-range interactions would not occur in our luciferase assay. Consistent with miR-15b/16 LNA-based experiments (Figs. 4E and 5D), cotransfection of luciferase constructs with a miR-15b/16 target site blocker (TSB), an antisense oligonucleotide designed to block the miR-15b/16 target site only in the *NOD1* 3'UTR and not predicted to bind miR-15b/16 sites in any other known human 3'UTR, increased luciferase activity of the WT *NOD1* 3'UTR but not the mutated 3'UTRs (Fig. 5E). Together, these results show that miR-15b and miR-16 directly exert their



effect on *NOD1* expression through their specific binding sites in the *NOD1* 3'UTR.

**miR-15b/16 set the threshold for *NOD1* ligand-mediated MAPK and NF-κB signaling**

Because miR-15/16 played a role in regulating *NOD1* expression through its 3'UTR, we hypothesized that loss of miRNA control might lower the threshold for activation of proinflammatory signaling through *NOD1*. Short-term inhibition of miRNA activity in

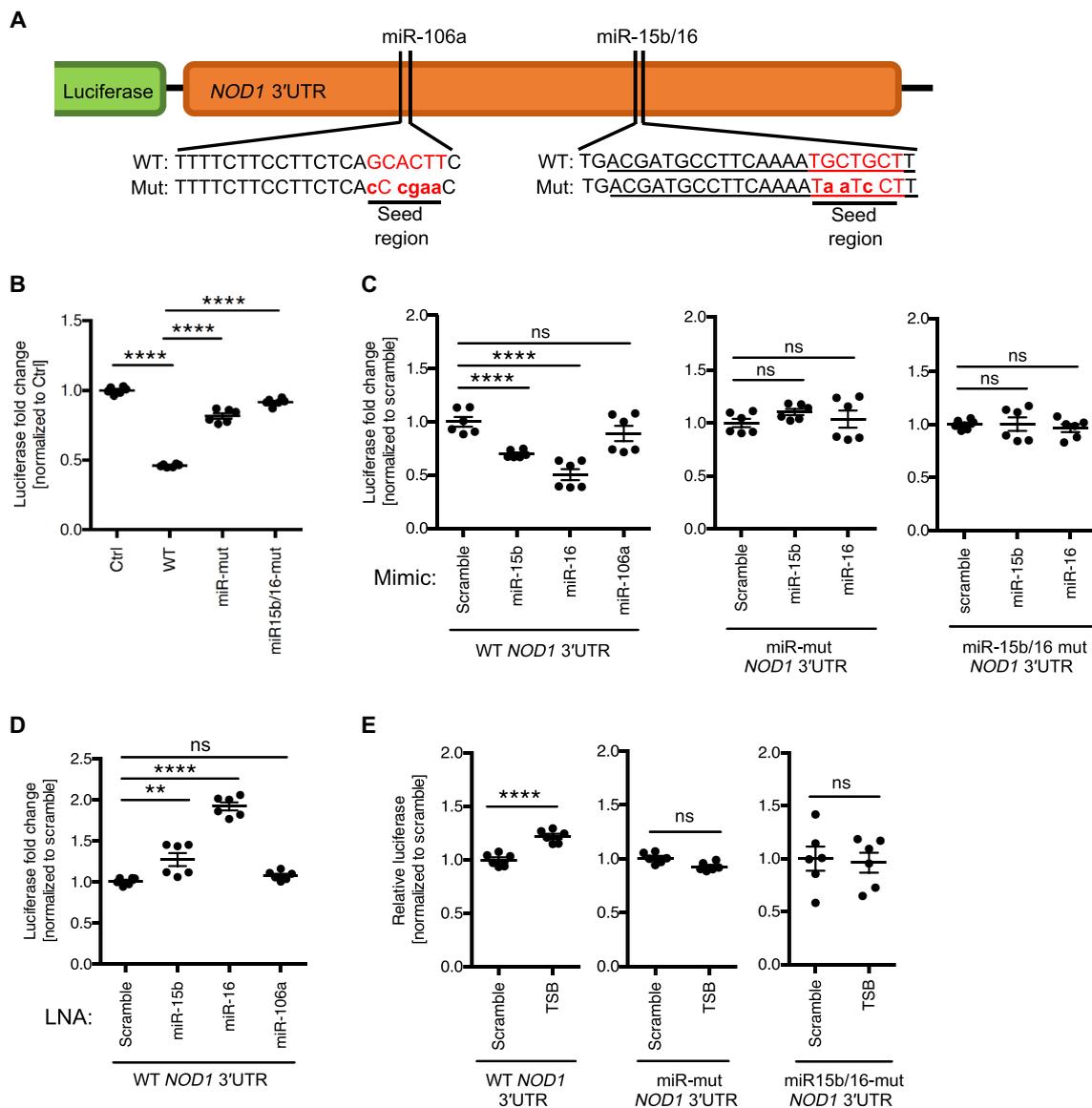


**Fig. 4. miRNAs tightly regulate NOD1 expression and are, in turn, regulated by its activation.** (A) Top: Correlation (Spearman's) of miR-15b, miR-16, and miR-106a with *NOD1*, *NOD2*, and *NLRP4*. Data originate from biological quadruplicates of a microarray experiment in THP-1 cells. Numbers indicate  $r$  values (determined using the `rcorr` function with Spearman's method in R) and color depicts strength of correlation. Bottom:  $P$  values corresponding to the  $r$  values above. (B) qPCR of miRNA expression in THP-1 NOD1 and Vector cells treated with (+) or without (–) DOX. (C and D) qPCR for miRNAs and *NOD1* (C) and FACS for NOD1 (plots, left; quantification, right) (D) in THP-1 cells treated with 1  $\mu$ g of C12-iE-DAP for the indicated times. (E) FACS for NOD1 protein in THP-1 cells transfected with LNA specific to the indicated miRNAs. (F) Quantification of histograms in (E). Data in (B) to (E) are representative of three independent experiments. Error bars on graphs are means  $\pm$  SEM of at least three biological replicates, and  $P$  values from an unpaired  $t$  test (two-tailed) without assuming a consistent SD are shown. \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ . In (C), each 30', 60', 120', 180', and 240' value was tested against the untreated (UT) condition.

THP-1 cells with LNA led to a small 1.2 $\times$  to 1.3 $\times$  increase in NOD1 expression (Fig. 6A) that for most cells was below the 1.5-fold increase that led to spontaneous NOD1 signaling events (Fig. 1, B to D). Subsequent treatment with iE-DAP, however, led to robust phosphorylation of the MAPK p38 in cells treated with miR-15b/16 LNA as compared to those treated with scramble LNA across all ligand concentrations tested, and a delayed degradation of I $\kappa$ B $\alpha$  only at a subsaturating, otherwise inert concentration of ligand (10 ng iE-DAP) (Fig. 6B). Augmentation of NF- $\kappa$ B activation at a lower, suboptimal concentration of the ligand indicated that higher doses of ligand have a saturating effect on NF- $\kappa$ B activation. This agrees with work showing that distinct thresholds exist for NF- $\kappa$ B and MAPK signaling

downstream of a common stimulatory ligand, with the threshold for NF- $\kappa$ B activation being lower than that for MAPK activation (34).

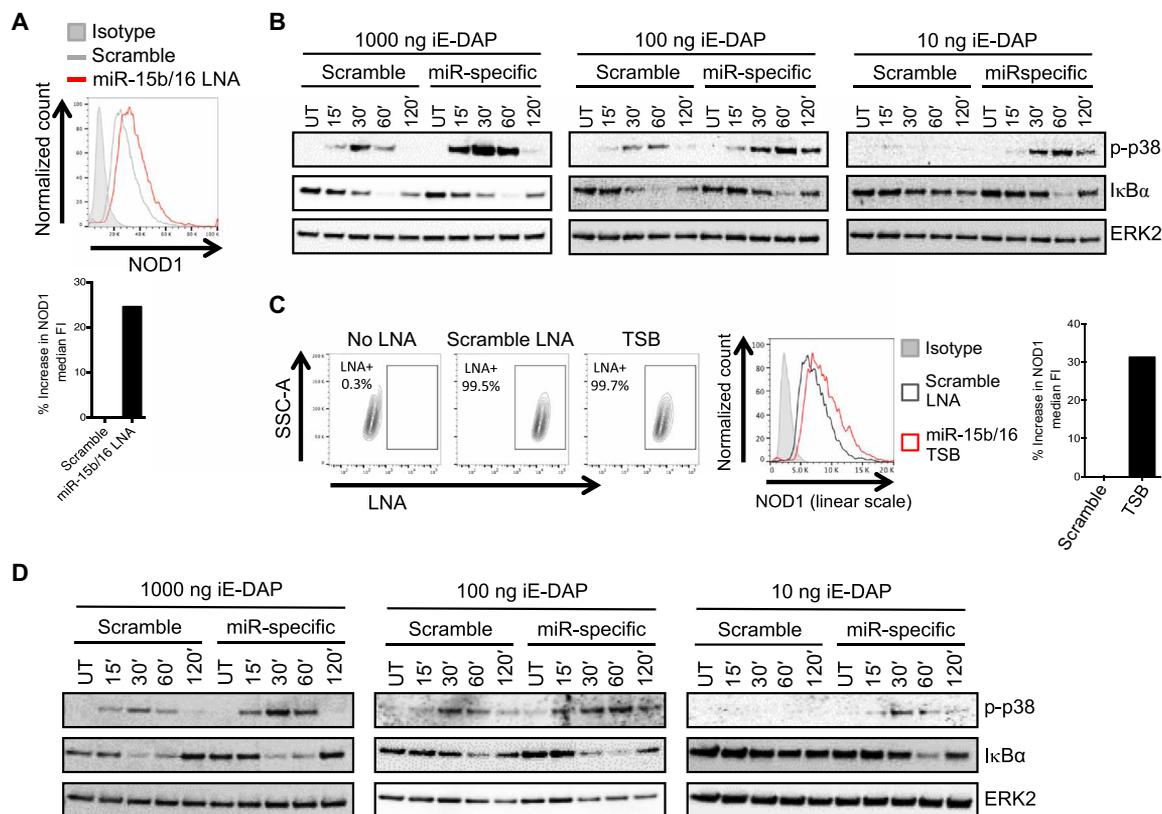
To further test whether the observed effects of miR-15b/16 on MAPK and NF- $\kappa$ B activation directly depended on their binding to the *NOD1* 3' UTR, as opposed to indirect effects through binding of these miRNAs to unrelated target genes, we treated cells with miR-15b/16 TSB and examined p38 and NF- $\kappa$ B activation in response to ligand. As observed with LNA inhibitors, THP-1 cells treated with miR-15b/16 TSB for 24 hours showed a subtle (~1.3-fold) increase in NOD1 expression (Fig. 6C). This small increase in NOD1 enhanced ligand-induced p38 phosphorylation at all ligand concentrations and I $\kappa$ B $\alpha$  degradation only at a suboptimal ligand concentration



**Fig. 5. miR-15b and miR-16 exert their activity through their binding site in the *NOD1* 3'UTR.** (A) Schematic of luciferase reporter constructs showing the miR-15b/16 and miR-106a binding sites in the *NOD1* 3'UTR (WT) and mutations introduced to obtain mutated 3'UTRs (Mut). Seed regions are in red, and mutations are in lowercase. (B) Luciferase reporter assay in HEK-293 cells showing decreased luciferase activity of the WT *NOD1* 3'UTR compared to a minimal 3'UTR (Ctrl; a 3'UTR with no known regulatory sequences), a *NOD1* 3'UTR in which the miR-15b/16 binding site was mutated (miR-15b/16 mut), or a *NOD1* 3'UTR in which all miRNA binding sites were mutated (miR-mut). (C) Luciferase activity of the WT *NOD1* 3'UTR (left), miR-mut 3'UTR (middle), or miR15b/16-mut 3'UTR (right) in response to the indicated miRNA mimics. HEK-293 cells were cotransfected with the indicated 3'UTR luciferase constructs and miRNA mimics. (D) Luciferase activity of the WT *NOD1* 3'UTR in response to miR-15b, miR-16, miR-106a, or scramble LNA. HEK-293 cells were cotransfected with the WT *NOD1* 3'UTR luciferase construct and the indicated LNAs. (E) Luciferase activity of the WT *NOD1* 3'UTR (left) and the mutated 3'UTRs (middle and right) in response to miR-15b/16 target site blocker (TSB). HEK-293 cells were cotransfected with the indicated 3'UTR luciferase constructs and TSB. Data in (B) to (E) are representative of at least three independent experiments. Error bars on graphs are means  $\pm$  SEM of the indicated number of biological replicates. *P* values from an unpaired *t* test (two-tailed) without assuming a consistent SD are shown. \*\**P* < 0.01, \*\*\**P* < 0.001, \*\*\*\**P* < 0.0001; ns, not significant.

(10 ng iE-DAP) (Fig. 6D), suggesting that miR-15b/16 exert their effect on *NOD1* expression and downstream inflammatory signaling through their predicted binding site in the *NOD1* 3'UTR. Together, these data indicate that a small increase in *NOD1* primes cells for heightened inflammatory signaling in response to subsaturating concentrations of ligand, a characteristic of the system that might function as a double-edged sword. On the one hand, such a state may permit ambient concentrations of ligand derived, for example, from

commensals, to activate inflammatory responses to a greater degree than when miRNA control is intact, setting the stage for persistent inflammation and pathological consequences. On the other hand, because NF- $\kappa$ B and MAPK responses activated through *NOD1* are critical for host defense against bacterial pathogens, this property of the system might also be important for functional rather than pathologic responses during infection because inflammatory responses to low amounts of ligand from invading pathogens may be readily



**Fig. 6. A small increase in NOD1 by disruption of miR-15b/16 function sensitizes cells to ligand-induced proinflammatory signaling.** (A) Histogram (top) and quantification (bottom) of NOD1 protein in THP-1 cells transfected with miR-15b/16 LNA or scramble LNA. (B) Immunoblots of p-p38 and IκBα in THP-1 cells treated with scramble or miR-15b/16 LNA and then left untreated (UT) or treated with the indicated concentrations of C12-iE-DAP for the indicated times. (C) Representative FACS plots with gating strategy (left), histogram (middle), and corresponding quantification (right) for NOD1 protein in THP-1 cells transfected with scramble TSB or TSB specific for the miR-15b/miR-16 binding site in the NOD1 3'UTR. (D) Immunoblots of p-p38 and IκBα in THP-1 cells treated with scramble or miR-15b/16-specific TSB and either left untreated (UT) or treated with the indicated concentrations of C12-iE-DAP for the indicated times. Data in (A) and (C) are one representative of three independent experiments, and those in (B) and (D) are one representative of two independent experiments.

mounted, providing a feedforward boost to the immune response as soon as bacterial ligand is present.

### Prolonged disruption of miR-15b/16 control of NOD1 expression causes spontaneous pathologic gene activation

We next asked whether a sustained small increase in endogenous NOD1 by loss of miR-15b/16 control triggers pathologic responses. First, we induced prolonged disruption of miR-15b/16-mediated control of NOD1 by treatment of cells with miR-15b/16 TSB that inhibits miRNA binding specifically to the NOD1 3'UTR. This led to a small increase in endogenous NOD1 protein by 24 hours that was sustained until day 8 of TSB treatment and was accompanied by a significant induction of *C-KIT*, *ALX1*, and *C-MYC* expression by day 3 (Fig. 7, A and B). A similar up-regulation of NOD1 and induction of oncogenes by day 3 upon inhibition of miR-15b/16 was also observed in primary CD14<sup>+</sup> monocytes purified from human blood (Fig. 7C). Second, we genetically targeted miR-15b and miR-16 in THP-1 cells by CRISPR-Cas9 gene editing to achieve a long-term reduction in the expression of these miRNAs and up-regulation of NOD1. miR-15b and miR-16 are present contiguously within an intronic region of the same gene (*SMC4*), and we found that guide RNAs (gRNAs) targeting miR-15b also significantly reduced miR-16 expression (Fig. 7D, left, and fig. S7). Prolonged reduction in

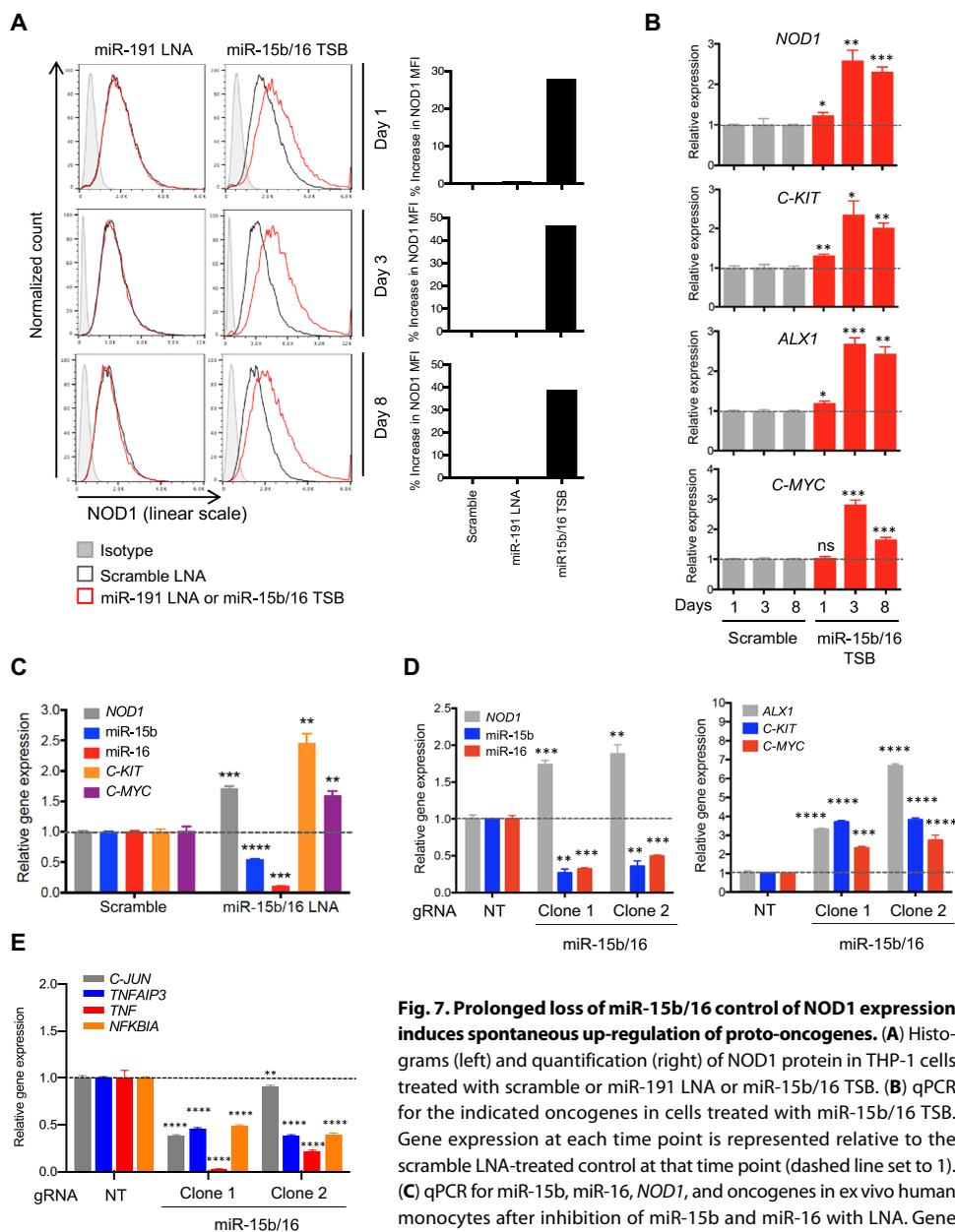
miR-15b/16 expression in two independent single-cell clones for ~4 to 6 weeks led to a 1.5- to 2-fold increase in endogenous *NOD1* (Fig. 7D, left) and spontaneous induction of *C-KIT*, *ALX1*, and *C-MYC* (Fig. 7D, right) and a tolerization of inflammatory gene expression (Fig. 7E). Together, these results indicate that a sustained small increase in NOD1 expression by disruption of miR-15b/16 function leads to pathologic gene activation. Overall, our data suggest that miR-15b/16 constitute a critical control mechanism that keeps NOD1 expression in check in normal cells. A prolonged small increase in NOD1 at or above a 1.5-fold threshold of expression leads to spontaneous induction of inflammatory genes and oncogenes, underscoring the importance of stringent control mechanisms needed to restrain NOD1 expression.

### DISCUSSION

The human genome contains many regulatory elements that modulate gene activity at different points in the progression from gene transcription to translation. Alterations in noncoding regions of the genome are linked to inflammatory and autoimmune diseases through GWAS (4), suggesting that persistent small changes in gene or gene product expression might play an important role in immune dysregulation. Here, we provide evidence that a prolonged small increase

in expression of NOD1, a ubiquitously expressed cytosolic sensor of bacterial infection, resulted in a large impact on cellular transcription state including both inflammatory and especially oncogene expression in the absence of ligand-driven activity. To avoid such spontaneous activity, NOD1 protein expression was tightly controlled in human cells by at least two miRNAs, miR-15b and miR-16. Our data identified an innate regulatory circuit involving miRNAs that kept NOD1 expression within a narrow window below a digital switching point, which when exceeded can trigger persistent inflammation and induce pathologic gene expression (fig. S8). They also support the important role already assigned to miRNA dysregulation in some cancers and add to our understanding of the mechanisms by which aberrant control of innate immune responses may lead to the origin of oncogenic activity.

How might a sustained  $\geq 1.5$ -fold increase in NOD1 expression lead to activation? Because NOD1 needs to oligomerize to signal, we hypothesize that it may operate in a manner akin to a sol-gel transition, whereby a small increase in its protein concentration triggers a molecular change from a monomeric species to a macromolecular or polymeric gel-like NOD1 signaling complex that can induce activation of downstream RIPK2-dependent signaling cascades. Such a phenomenon has been previously observed in multivalent signaling systems including T cell receptor signaling (35, 36). Because the protein concentration required for phase transition depends on physical properties of the monomeric species such as valency and affinity, such a molecular conversion is likely to underlie oligomerization of a large, multivalent entity like NOD1 that has an inherent propensity to not only self-associate but also interact with its downstream signaling adapter protein RIPK2 through homotypic protein-protein interactions. Furthermore, proteins in solution are believed to exhibit marked fluctuations in their three-dimensional structures, a movement referred to as protein “breathing” (37). Maintaining a supraphysiologic concentration of NOD1 might allow the normal opening and reclosing of each molecule (that is, molecular breathing) to lead to gel transition that would not take place when the molecules are more separated and, hence, more likely to self-close and cover the oligomerization domain before they associate with another open molecule in the cytosol. Our finding that NOD1 is the most



**Fig. 7. Prolonged loss of miR-15b/16 control of NOD1 expression induces spontaneous up-regulation of proto-oncogenes.** (A) Histograms (left) and quantification (right) of NOD1 protein in THP-1 cells treated with scramble or miR-191 LNA or miR-15b/16 TSB. (B) qPCR for the indicated oncogenes in cells treated with miR-15b/16 TSB. Gene expression at each time point is represented relative to the scramble LNA-treated control at that time point (dashed line set to 1). (C) qPCR for miR-15b, miR-16, *NOD1*, and oncogenes in ex vivo human monocytes after inhibition of miR-15b and miR-16 with LNA. Gene expression is represented relative to that in the scramble LNA control (dashed line set to 1). (D) qPCR for miR-15b, miR-16, and *NOD1* (left) and the indicated oncogenes (right) in THP-1 cells after CRISPR-Cas9-mediated reduction of miR-15b/16 in two independent clones analyzed 4 to 6 weeks after miR-15b/16 targeting and single-cell cloning. Gene expression is represented relative to that in the nontargeting (NT) gRNA control (dashed line set to 1). (E) qPCR for the indicated inflammatory genes in THP-1 cells after CRISPR-Cas9 reduction of miR-15b/16. Data in (A), (B), and (D) are representative of three independent experiments, and those in (E) are representative of two independent experiments with three biological replicates per condition. In (C), one representative of two independent donors is shown with three replicates per condition. Error bars on graphs are means  $\pm$  SEM, and *P* values from an unpaired *t* test (two-tailed) without assuming a consistent SD are shown. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001, \*\*\*\**P* < 0.0001; ns, not significant.

tightly regulated intracellular bacterial sensor (Fig. 3B) and also that sustained small increases in its intracellular protein concentration drive inflammatory and proto-oncogene expression suggest that evolution has led to NOD1 being maintained at just below the triggering concentration. This yields a highly sensitive detector, at the risk of pathologic activation with small disturbances in expression control.

Why does a short-term increase in NOD1 below the 1.5-fold threshold by disruption of miRNA control not lead to autoactivation but rather only sensitizes cells to ligand (Fig. 6, A to D)? We posit that this could be a safety mechanism built into cells because such small differences in expression may be within the range of random variation of mRNA or protein level within a given cell at different times or between different cells in a clonal population. It therefore seems plausible that cells would not want to spontaneously activate with very small (<1.5-fold) short-term increases in NOD1 unless another threat (for example, a bacterial ligand) is imminent or unless miRNA dysregulation persists so that a critical expression threshold at which autoactivation occurs is reached over time. A small prolonged increase in NOD1 at or above 1.5-fold either by NOD1 transgene expression or by disruption of regulatory control of endogenous NOD1 by miR-15b/16 led to auto-oligomerization similar to that caused by ligand treatment (fig. S2B), spontaneous inflammatory gene activation (fig. S2A and data file S2), and particularly a major effect on oncogene expression (Figs. 2, A to D, and 7, A to D, and fig. S3, A to C). Such a prolonged increase in gene or gene product expression, which may be achieved by eQTLs or genetic variants in humans, may potentially be one way by which a diseased state is initiated over time in cells of genetically predisposed individuals.

Persistent inflammation is believed to instigate oncogenesis in many ways, including triggering the transformation process itself and providing a suitable milieu for the proliferation of transformed cells. In our system, oncogene expression was substantially reversed by deletion of RIPK2 (Fig. 2I), suggesting that it may be dependent on persistent inflammatory signaling through the NOD1-RIPK2 pathway. However, at least two signals are thought to be required to transform a normal cell into a tumor cell (38, 39), suggesting that to take advantage of this inflammatory microenvironment, the cells themselves need more than one hit to acquire such unconstrained oncogenic potential. We think it is unlikely that short-term activation of NOD1 alone would be sufficient to spur oncogenic changes in otherwise normal cells because ligand-induced, scaled activation of NOD1 appears to trigger negative feedback mechanisms that strongly repress NOD1 expression (Fig. 4C) and should therefore prevent unchecked inflammation. Thus, under normal conditions, NOD1 activation is counterbalanced by repression of its expression. To shift this balance toward chronic NOD1 expression and sustained inflammation, it is likely that a disruption of miR-15b/16 and/or other regulatory mechanisms that restrict NOD1 expression is required. Our data showed that a small increase in NOD1 due to loss of miR-15b/16 repression brought NOD1 levels closer to the ligand-independent threshold and sensitized cells to inflammatory responses in response to otherwise inert concentrations of ligand (Fig. 6, A to D), a state that might permit usually innocuous concentrations of commensal products to activate inflammatory responses to a greater degree than when miRNA control is intact. Disruption of one or more mechanisms that sustain miR-15b/16 expression may thus constitute an initial trigger that unleashes the inflammatory potential of NOD1, and possibly also dysregulates the expression of other genes controlled by these miRNAs, creating a microenvironment that drives oncogenesis (3, 6).

Recent studies across several cancer types suggest a tumor regulatory architecture wherein functional master regulator proteins, whose abnormal activity is necessary and sufficient for implementing a tumor cell state, integrate the effects of multiple and heterogeneous upstream genomic alterations. These master regulators are not

themselves mutated but lie downstream of mutant genes that change the expression of the master regulator at the protein level by 1.5- to 3-fold, which, in turn, is believed to cause dysregulation of downstream genes that control cell growth and metastasis (7). Our data in monocytes show that a persistent 1.5-fold increase in NOD1 is sufficient to trigger a prolonged switch to oncogenes. In this regard, NOD1 may itself act as a master regulator whose altered activity propagates a tumor cell state. However, because NOD1 is expressed ubiquitously in the body, such oncogene induction could also be potentially relevant to expression of NOD1 in nonhematopoietic cell types such as epithelial cells. Therefore, in a physiological context, a small dysregulation of NOD1 expression, for example, by defective miR-15b/16 control, could lead to a “double whammy” effect, whereby a trans effect of chronic inflammation involving monocytes promotes promalignant changes in epithelial cells that synergizes with a cis effect within epithelial cells themselves. Genetic variants in *NOD1* are associated with gastric cancer risk (14), and chronic inflammation triggered by activation of NOD1 by *H. pylori* is an initiating event in gastric cancer (16). Expression of miR-15b/16, which we identify here as regulators of NOD1 expression, is reduced in gastric tumor cells (40, 41), and NOD1 expression is increased in biopsies of patients with gastritis and gastric cancer (15). In addition, MAPK activation and macrophage-derived inflammatory mediators contribute to tumor development in the gastric mucosa (17, 42). Together, these studies raise the intriguing possibility that the “dangerous” set-point behavior for NOD1 that we describe here, wherein a small persistent increase in its expression causes spontaneous cell signaling without ligand, could be connected to initiation of carcinogenesis by genetic risk factors in gastric cancer.

Overall, our data emphasize that very small prolonged changes in protein concentration can have large effects on cellular transcriptional state inciting inflammatory and potentially oncogenic behavior. Rather than the “Knockout = large effect” studies that have captivated the field for decades, these data emphasize the need to consider in a more quantitative and subtle way how chronic inflammation and associated oncogenic activity may evolve in susceptible hosts over years through a sustained small shift in gene or gene product expression that translates into aberrant population responses over time, with perhaps the occurrence of such events in several genes necessary to escape multilayered control and manifest full-blown clinical disease. Future studies should consider the possible ramifications of such seemingly minor but persistent changes in gene expression on the origin of complex immune-related diseases like cancer and autoimmunity in humans.

## MATERIALS AND METHODS

### Cells and reagents

THP-1 cells were obtained from the American Type Culture Collection and cultured in RPMI (Lonza) supplemented with 2 mM glutamine (Gibco), 10 mM Hepes (Corning), and 10% FCS (RPMI-10) in a humidified atmosphere of 5% CO<sub>2</sub> at 37°C. For serum-free experiments, THP-1 cells were cultured in Macrophage-SFM (serum-free medium; Thermo Fisher Scientific) containing L-glutamine for 2 weeks. Parent THP-1 cells and all stable cell lines generated in this study were regularly tested for potential mycoplasma contamination to ensure authenticity. Blood from healthy adult donors was obtained from BenTech under a materials transfer agreement (MTA) between BenTech and Institute for Systems Biology (ISB) approved by ISB's

Institutional Review Board. Vectors for gateway cloning, namely, pEN\_TmiRc3 entry vector and pSLIK\_Neo destination vector, were provided by I. Fraser, National Institute of Allergy and Infectious Diseases (NIAID), National Institutes of Health (NIH). Primers and probes used for detection of endogenous and 3X-FLAG NOD1 and NLRP4 are listed in table S1.

### Constructs and stable cell lines

For generation of stable cell lines, 3X-FLAG-tagged NOD1 and NLRP4 were cloned into an entry vector (pEN\_TmiRc3) driven by a tetracycline-inducible (TRE) promoter and recombined into a lentiviral expression vector (pSLIK\_Neo). Lentiviruses were produced in modified HEK-293T cells (provided by I. Fraser) by cotransfecting plasmid DNA of interest along with pRSV, pVSV, and pMDL plasmids as previously described (43). Virus was concentrated from the supernatant and used to infect THP-1 cells at low copy to ensure <30% infection frequency such that majority of the transduced cells contain a single viral integration. Stable cell lines were selected with G418 (1 mg/ml; InvivoGen). Expression of NOD1 and NLRP4 was induced by treatment with DOX (1 µg/ml; Sigma-Aldrich) for 6 hours.

### Microarray data analysis

THP-1 cells were seeded at a density of 50,000 cells per well in a 96-well plate and treated with DOX (1 µg/ml) for 6 hours to induce NOD1 or NLRP4 expression. Total RNA was isolated from four replicates per treatment using the RNeasy Miniprep Kit (Qiagen), and microarray analysis was performed using the Affymetrix GeneChip Human Gene 1.0 ST Arrays. Expression data were analyzed by GAGE (20) using the “gage” package in “R” [R Core Team (2013). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. URL [www.R-project.org/](http://www.R-project.org/)]. Pathway information was derived from KEGG. Correlations between normalized expression values of miRNAs and NOD1, NOD2, and NLRP4 were determined using the “rcorr” function with Spearman’s method in R.

### Big data approach for analysis of expression of innate sensor genes from the GEO database

Genome-wide microarray expression data were downloaded from GEO to the NIAID high-performance computing cluster by OMics Compendia Commons (OMiCC) project (44). Details about quality control, normalization, and annotation are provided in the “Gene-expression data and pre-processing” section with Supplementary Note 2 of the OMiCC manuscript. Five different human microarray platforms with large numbers of samples (more than 6000 samples per platform) from different vendors were selected for the analysis. These microarray samples were generated from hundreds of microarray experiments. The list of platforms with details on numbers of experiments and samples analyzed from each of these platforms is shown in table S2. To compare data across experiments generated by different laboratories, we normalized each gene of interest within each experiment by computing robust *z* scores. We chose to use a robust metric to ensure that outlier expression values, if any, had a less significant effect on the rescaled data. The robust *z* scores were computed by taking each vector *x* of gene values in a given experiment

and calculating  $z(x) = \frac{x - \text{MED}(x)}{\text{MAD}(x)}$ , where MED(*x*) is the median of the vector *x*, and MAD(*x*) is the median absolute deviation of *x* defined as  $\text{MAD}(x) = \text{MED}(|x - \text{MED}(x)|)$ . Then, the variability in the dis-

tribution of robust *z* scores for each probe in a microarray platform across all samples was determined by computing the SD (Fig. 3A). These steps were applied for each platform separately, and a platform-specific SD for each probe was obtained. Last, probes were mapped to gene symbols using annotation data downloaded from the OMiCC server. All the data and code can be downloaded upon request.

### Purification of monocytes from human blood

Peripheral blood mononuclear cells (PBMCs) were isolated from heparinized venous blood of healthy adult donors (BenTech) by Ficoll-Paque (GE Healthcare) density gradient centrifugation. CD14<sup>+</sup> monocytes were isolated from PBMCs by magnetic-activated cell sorting (MACS) using Monocyte Isolation Kit II (Miltenyi Biotec). Cell purity was determined by staining with anti-human CD14 (61D3, eBioscience) and analyzed by fluorescence-activated cell sorting (FACS).

### Transfection of cells with LNA inhibitors

MiRCURY LNA miRNA power inhibitors were purchased from Qiagen. The following LNA inhibitors were used: hsa-miR-15b-5p, hsa-miR-16-5p, hsa-miR-106a-5p (these LNAs target both human and mouse miRNA), hsa-miR-191-5p, hsa-miR-15b/16 NOD1 3'UTR-specific TSB, and scramble negative control A. Briefly, THP-1 cells or ex vivo differentiated mouse BMDMs were plated at a density of  $2.5 \times 10^5$  per well in a 24-well plate in RPMI-10 the day before transfection. On the following day, cells were transfected with LNA inhibitors at a final concentration of 50 µM using HiPerfect transfection reagent (Qiagen). Transfection complex was prepared by combining 200 µl of Opti-MEM (Gibco) with 6 µl of HiPerfect transfection reagent (Qiagen) and 1 µl of LNA inhibitor (50 nM stock) and incubated for 20 min at room temperature before addition to cells. NOD1 expression was analyzed at 24 to 36 hours after transfection with LNAs. For experiments with ligand, cells were first treated with LNA-based power inhibitors at a final concentration of 75 µM without transfection reagent (to achieve the same inhibition efficacy as that seen with 50 µM inhibitor in the presence of transfection reagent) and incubated for 24 hours, and then the ligand was transfected using HiPerfect transfection reagent. For long-term experiments with LNA (as in Fig. 7, A and B),  $1.5 \times 10^6$  THP-1 cells were seeded in a 12-well tray, incubated overnight, and then treated with 8 µl of LNA inhibitor (50 nM stock; without transfection reagent). After 24 hours, half of the culture was removed for experiments and an equal amount of fresh medium containing LNA (4 µl; 50 nM stock) was added to the well to maintain a consistent concentration of cells and LNA inhibitor in each well. This process was repeated for 8 days. For long-term LNA experiments with primary monocytes (as in Fig. 7C), cells were treated with LNA at a final concentration of 50 µM without transfection reagent and analyzed 3 days after treatment.

### Activation of NOD1 with ligand

THP-1 cells or CD14<sup>+</sup> PBMCs were seeded at a density of  $0.5 \times 10^6$ /ml overnight in a 24-well plate in RPMI-10 before exposure to C12-iE-DAP (InvivoGen). Ligand was transfected using HiPerfect (Invitrogen). The transfection complex was prepared by combining 200 µl of Opti-MEM with 6 µl of HiPerfect and 1 µl of ligand (from a 1 mg/ml stock) and then incubated for 20 min at room temperature followed by drop-wise addition to each well. Unless otherwise specified, cells were stimulated with 1 µg of C12-iE-DAP. At specified times after treatment with ligand, cells were analyzed by flow cytometry and quantitative RT-PCR, or cell lysates were prepared and analyzed by immunoblot.

### NOD1 3'UTR luciferase reporter assays

Luciferase reporter constructs were engineered by cloning the WT *NOD1* 3'UTR, a *NOD1* 3'UTR with the miR-15b/16 binding site mutated (miR15b/16-mut), or *NOD1* 3'UTR with miR-15b/16 and miR-106a binding sites mutated (miR-mut) (gBLOCK, IDT) into the pGL3 vector (Promega). For luciferase assays, HEK-293 cells were seeded at  $4 \times 10^4$  cells per well in a 48-well plate overnight. Cells were transfected with 200 ng of *NOD1* WT or miR-15b/16-mut 3'UTR luciferase reporter constructs and 10 ng of enhanced green fluorescent protein (eGFP) using FuGENE HD transfection reagent (Promega). Where noted, cells were cotransfected with either miRNA mimics, LNA inhibitors, or TSB at a final concentration of 100 nM using HiPerfect (Invitrogen) for 36 to 48 hours. Cells were washed with phosphate-buffered saline and lysed in cell lysis buffer (9803, Cell Signaling Technology). Luciferase activity was measured with the Steady-Glo Luciferase Assay System (Promega) using a microplate reader (BioTek Synergy H4 plate reader). Luciferase activity was normalized for transfection efficiency (eGFP) and plotted as a fold change over the respective control.

### Immunoblot analysis

Immunoblots were prepared using Bolt bis-tris gel systems (Invitrogen) and probed overnight at 4°C with antibodies to NOD1 (3545, Cell Signaling), IκBα (4814, Cell Signaling), p-p38 (4511, Cell Signaling), p-AKT (4060, Cell Signaling), pan-AKT (4691, Cell Signaling), C-MYC (13987, Cell Signaling), C-KIT (Ab 81, Santa Cruz Biotechnology), ALX1 (ab181101, Abcam), or extracellular signal-regulated kinase 2 (ERK2) (C-14, Santa Cruz Biotechnology). Anti-FLAG antibody conjugated to horseradish peroxidase (A8592, Sigma-Aldrich) was probed at room temperature for 30 min. Blots were visualized using SuperSignal West Dura chemiluminescent substrate (Thermo Fisher Scientific) at a high or low exposure as indicated. For native gel analysis of NOD1 oligomers, cells were lysed using lysis buffer containing 1% NP-40. Cell debris were separated by centrifugation at 11,000g for 15 min. Native sample buffer was added to the cell lysate and run on a 3 to 12% native bis-tris gel as per the manufacturer's instructions (Thermo Fisher Scientific). Proteins were transferred to a nitrocellulose membrane followed by immunoblotting.

### Quantitative RT-PCR

Total RNA was isolated from THP-1 cells or CD14<sup>+</sup> PBMCs using the miRNeasy Mini Kit (QIAGEN), and complementary DNA (cDNA) was generated using SuperScript III First-Strand Synthesis System (Thermo Fisher Scientific). Quantitative RT-PCR was performed using FAM-labeled TaqMan MGB probes (Applied Biosystems) for *NOD1* (hs00196075\_m1), *C-KIT* (hs00174029\_m1), *ALX1* (hs00232518\_m1), *IL1B* (hs01555610\_m1), *JUN* (hs01103582\_s1), *NFKBIA* (hs00355671\_g1), *TNFAIP3* (hs00234713\_m1), glyceraldehyde-3-phosphate dehydrogenase (*GAPDH*) (hs02786624\_g1), and *ACTB* (hs01060665\_g1). Quantitative RT-PCR for hsa-miR-191-5p, hsa-miR-15b-5p, hsa-miR-16-5p, and hsa-miR-106a-5p was performed using TaqMan MicroRNA Assays (Applied Biosystems). Gene mRNA levels were normalized to the housekeeping genes *GAPDH* or *ACTB*, and miRNA levels were normalized to the endogenous control hsa-miR-191-5p.

### Analysis of endogenous and transgenic NOD1 expression by flow cytometry

THP-1 cells or CD14<sup>+</sup> PBMCs were seeded in a 24-well tray at  $2.5 \times 10^5$  cells per well in RPMI-10 before treatment and were exposed to

DOX (1 μg/ml; Sigma-Aldrich) or medium for 24 hours to induce the expression of NOD1. Alternatively, cells were treated with LNA inhibitors and ligand as described elsewhere in these methods. Endogenous NOD1 expression was assessed using anti-rabbit NOD1 (H-176, Santa Cruz Biotechnology; B-4, Santa Cruz Biotechnology), and transgenic NOD1 expression was assessed using anti-FLAG M2 antibody (Sigma-Aldrich). Briefly, cells were fixed using CytoFix/CytoPerm (BD Biosciences) followed by blocking with human immunoglobulin G (IgG) (Pierce) and staining for primary and secondary antibodies. Cells were analyzed with a BD FACSCalibur flow cytometer (Becton Dickinson). Fluorescence of  $10^4$  to  $10^5$  cells per sample was acquired in logarithmic mode. Expression was quantified by calculating the mean or median fluorescence intensity of each sample.

### Measurement of phospho-p65, ALX1, and C-KIT by flow cytometry

THP-1 cells or CD14<sup>+</sup> PBMCs were seeded in a 24-well tray at  $2.5 \times 10^5$  cells per well in RPMI-10 before treatment and were treated with LNA inhibitors and ligand as described elsewhere in these methods. The following antibodies were used: anti-rabbit phospho-p65 (Ser<sup>536</sup>) conjugated to Alexa Fluor 647 (93H1, Cell Signaling), anti-ALX1 (Sigma-Aldrich, HPA018905), and anti-C-KIT (eBioscience, 17-1178). Briefly, cells were fixed using 4% paraformaldehyde (Alfa Aesar) and permeabilized with MeOH followed by blocking with human IgG (Pierce) and staining with primary and secondary antibodies. After washing, cells were analyzed on a BD FACSCalibur flow cytometer (Becton Dickinson). Fluorescence of  $10^4$  to  $10^5$  cells per sample was acquired in logarithmic mode. Data were analyzed using FlowJo software.

### CRISPR-mediated ablation of RIPK2 and FLAG NOD1

For CRISPR-Cas9 targeting of *RIPK2* or FLAG *NOD1*, the gRNA targeting *RIPK2* or FLAG *NOD1* (IDT) was cloned into a single self-inactivating lentivirus plasmid pRRL-U6-empty-gRNA-MND-Cas9-t2A-Puro, which expresses a Cas9-T2A-puromycin resistance cassette controlled by an MND (myeloproliferative sarcoma virus enhancer, negative control region deleted, *d1587*rev primer-binding site substituted) promoter (45). Expression of gRNA was controlled by the U6 promoter. THP-1 NOD1 cells were transduced with lentivirus, and stably transduced cells were selected with puromycin (5 μg/ml; InvivoGen). Gene targeting events were validated by sequencing and Western blot for *RIPK2* or FLAG *NOD1* to identify *RIPK2*<sup>-/-</sup> or *NOD1*-FLAG<sup>-/-</sup> THP-1 cells. The sequence of the gRNA target site is as follows, where a (G) denotes a nucleotide added to enable transcription off the U6 promoter: gRNA targeting *FLAG-NOD1*: (G)AGATCATGATATC-GATTACA; gRNA targeting *RIPK2*: (G)AGCGCAGGTCGGCGAGTTTG.

### CRISPR targeting of miR-15b/16

gRNAs (IDT) were incubated with a fluorescently tagged transactivating CRISPR RNA (tracrRNA) (IDT) to make a stable duplex, which was then incubated with the Cas9 nuclease (IDT) to make the ribonucleoprotein (RNP) complex. THP-1 cells were transfected with the RNP complex by nucleofection (Amaxa; SG Cell Line 4-D Nucleofector Kit). Cells were sorted 24 hours after transfection by FACS, selecting for cells expressing the tracrRNA fluorescent dye and then subcloned to obtain single-cell clones. Gene targeting events were validated by sequencing and quantitative RT-PCR for miR-15b/16. Of the clones reported in this study, clone 1 was from a targeting event by miR-15b gRNA #2 and clone 2 was from a targeting

event by miR-15b gRNA #1. The sequence of the gRNA target site is as follows: gRNA targeting *miR-15b* #1: TGTGCTGTCTACAGTACTTTA; gRNA targeting *miR-15b* #2: AGTACTGTAGCAGCACATC.

### Statistical analyses

Data are presented as means  $\pm$  SEM or as indicated in the figure legend. Statistical analyses were performed using a Student's unpaired *t* test (two-tailed) without assuming a consistent SD in either Prism GraphPad or the “*t* test” function in R. *P* values for Spearman's *r* values (Fig. 4A and data file S3) were computed using the R function `cor.test()`. *P* values  $<0.05$  were considered significant. Statistical parameters such as the value of *n*, the number of replicates, precision measures, and statistical significance are reported in the figures and figure legends.

### SUPPLEMENTARY MATERIALS

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Reference (46)

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## A small sustained increase in NOD1 abundance promotes ligand-independent inflammatory and oncogene transcriptional responses

Leah M. Rommereim, Ajay Suresh Akhade, Bhaskar Dutta, Carolyn Hutcheon, Nicolas W. Lounsbury, Clifford C. Rostomily, Ram Savan, Iain D. C. Fraser, Ronald N. Germain and Naeha Subramanian

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### A small NOD to big changes

NOD1 mediates proinflammatory and antimicrobial responses to pathogens. Infection with *Helicobacter pylori*, which is detected by NOD1, initiates inflammation that promotes gastric carcinogenesis. Rommereim *et al.* investigated how inflammatory and oncogenic transcriptional responses are affected by small changes in the abundance of NOD1. Suppression of the microRNA cluster miR-15b/16 increased the abundance of NOD1 by 1.2- to 1.3-fold and reduced the amount of ligand required to activate NOD1 in a monocytic cell line. NOD1-mediated transcriptional responses became ligand independent upon a 1.5-fold increase in NOD1 abundance. Both increases in NOD1 abundance resulted in disproportionately large increases in the expression of inflammatory genes and oncogenes. These data may explain why certain genetic variants in *NOD1* and reduced miR-15b/16 abundance are associated with an increased risk for developing gastric cancer.

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## Supplementary Materials for

### A small sustained increase in NOD1 abundance promotes ligand-independent inflammatory and oncogene transcriptional responses

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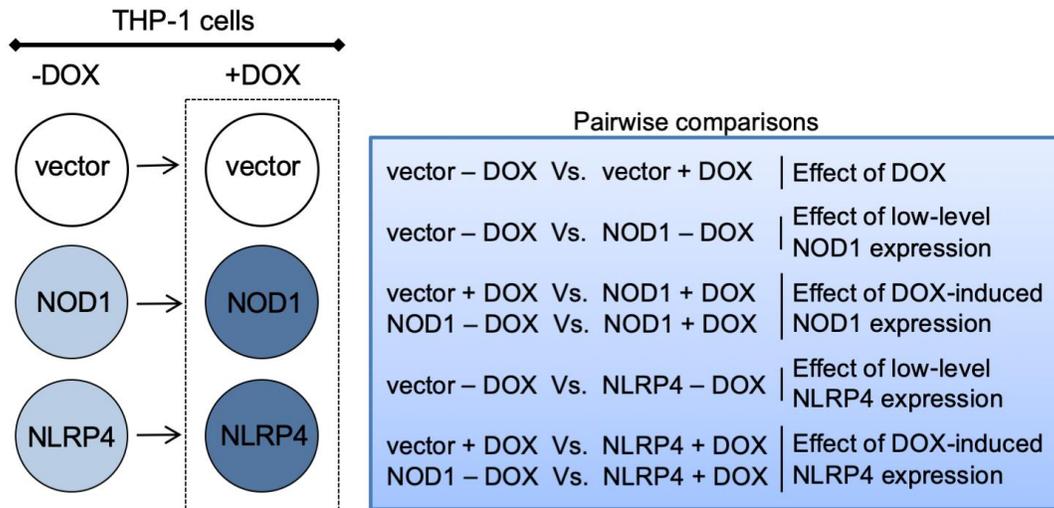
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#### Other Supplementary Material for this manuscript includes the following:

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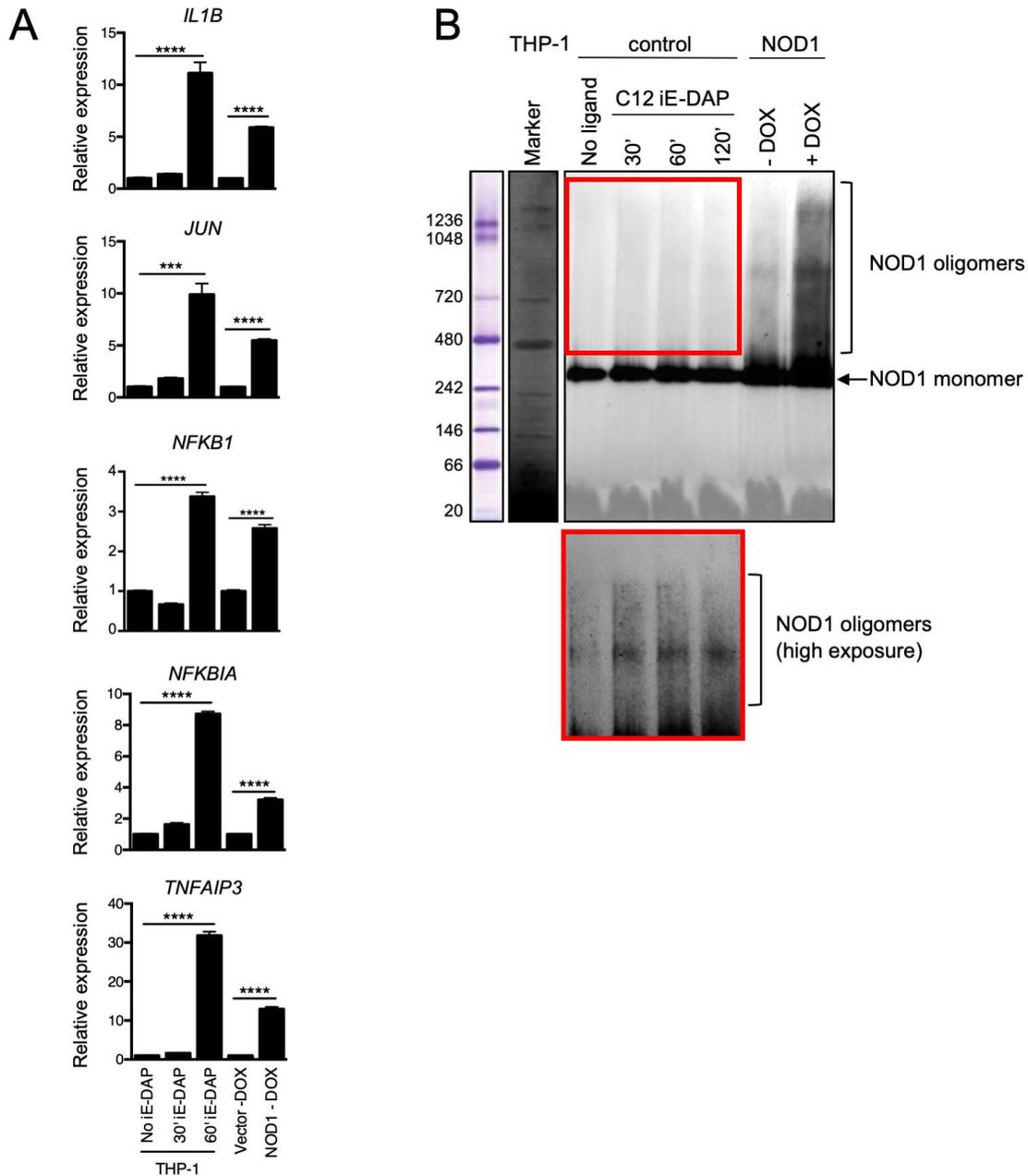
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Data file S3 (Microsoft Excel format). List of miRNAs on the Affymetrix GeneChip Human 1.0 ST microarray showing correlation of miRNAs with *NOD1* and their predicted binding to the *NOD1* 3'UTR.



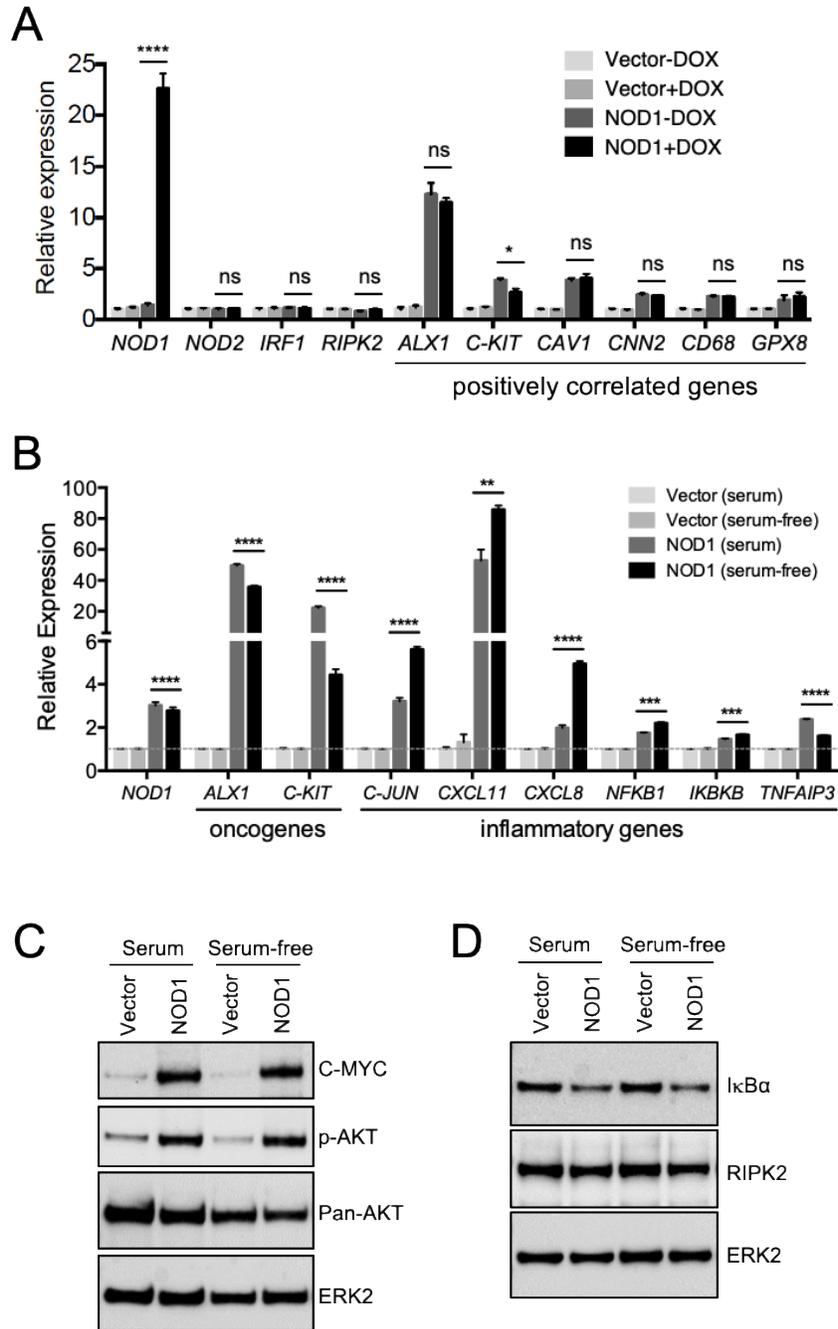
**Fig. S1. Schematic of pairwise comparisons of microarray data.**

Multiple pairwise comparisons conducted for microarray data from THP-1 cells stably transduced with vector alone, NOD1 or NLRP4 are shown. Vector: THP-1 cells transduced with empty lentivirus; NOD1: THP-1 stably transduced with 3X-FLAG NOD1; NLRP4: THP-1 stably transduced with 3X-FLAG NLRP4; DOX: doxycycline.



**Fig. S2. A persistent small increase in NOD1 leads to its oligomerization and inflammatory gene expression.**

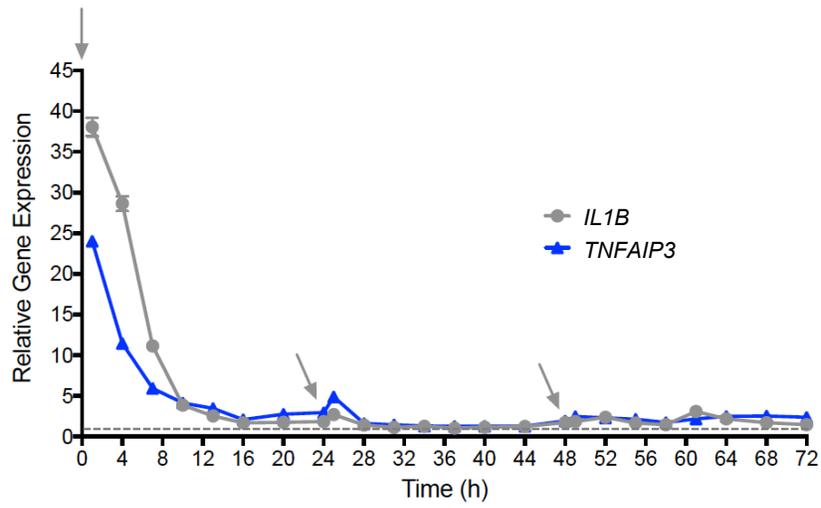
(A) qPCR for genes conventionally activated by NOD1 ligand in cells with a prolonged small increase in NOD1. THP-1 cells were untreated or treated with 1  $\mu$ g C12-iE-DAP for 30 min or 60 min and expression of the indicated genes was analyzed. Error bars are mean $\pm$ SEM of at least three biological replicates and p values from an unpaired t test (two-tailed) without assuming a consistent standard deviation. \*\*\* p<0.001, \*\*\*\* p<0.0001. (B) Native gel showing NOD1 oligomer formation in cells with 1.5X over-expression of NOD1 compared to those treated with ligand (10 $\mu$ g C12-iD-DAP for the indicated times). Data are representative of two independent experiments.



**Fig. S3. A persistent small increase in *NOD1* expression leads to saturating expression of proto-oncogenes.**

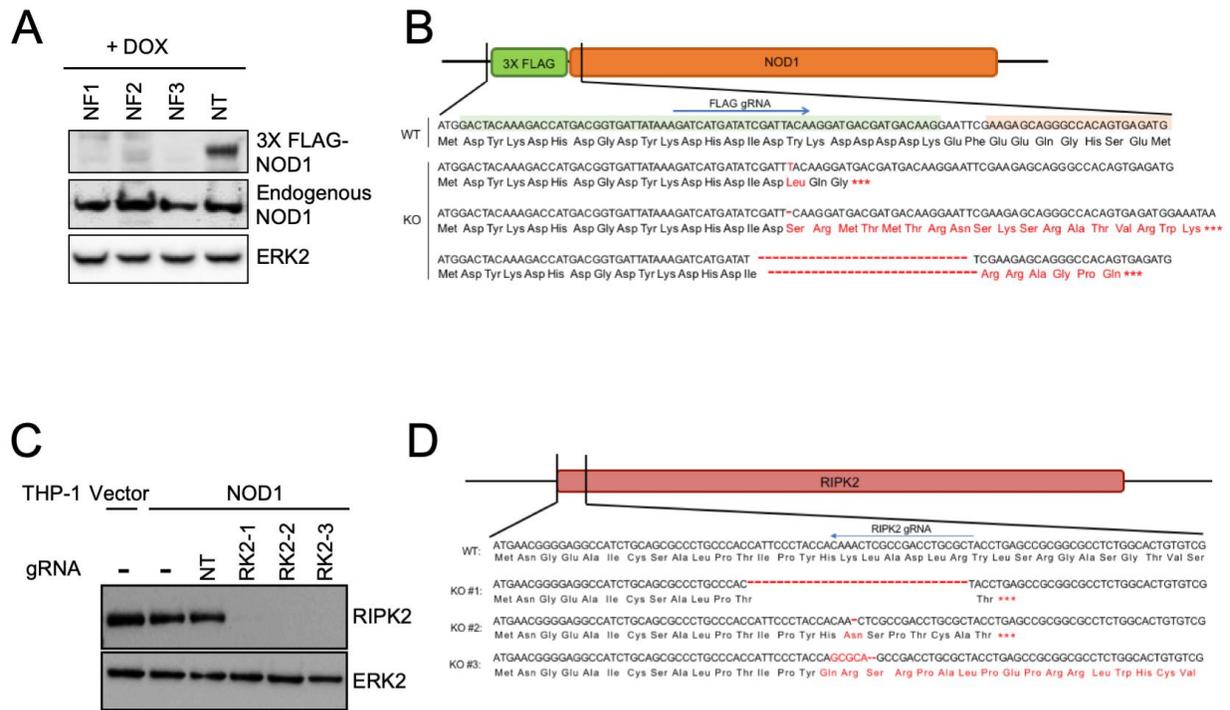
(A) Relative expression of the indicated genes from the microarray is shown. (B) qPCR for the indicated oncogenes and inflammatory genes in THP-1 NOD1 cells cultured with or without serum. Induction of all genes in NOD1 serum/serum-free conditions over the vector serum/serum-free conditions (dashed line set to 1) is significant at  $p < 0.0001$ . (C-D) Immunoblots for the oncogene C-MYC and p-AKT (C) and showing degradation of I $\kappa$ B $\alpha$  (D) in THP-1 NOD1 cells in presence or absence of serum. Data in B-D are representative of two

independent experiments. Error bars are mean $\pm$ SEM of four biological replicates from a microarray experiment (A) or of three biological replicates (B). p values from an unpaired t test (two-tailed) without assuming a consistent standard deviation are shown. \* p<0.05, \*\* p<0.01, \*\*\* p<0.001, \*\*\*\* p<0.0001, 'ns' not significant.



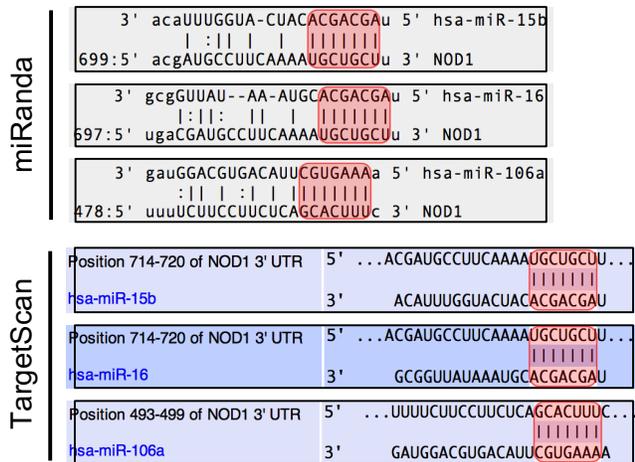
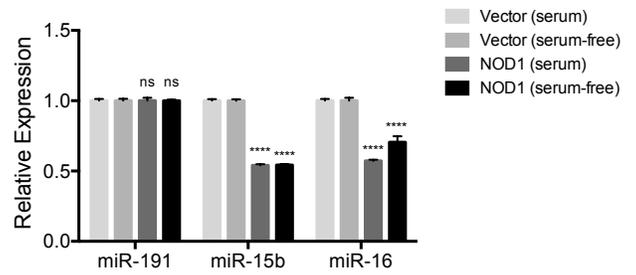
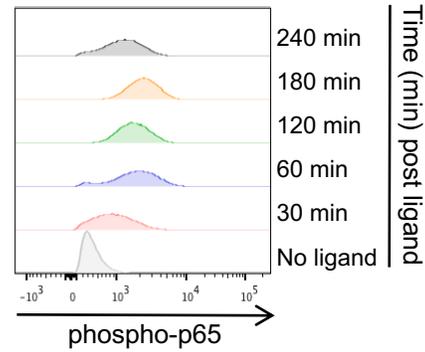
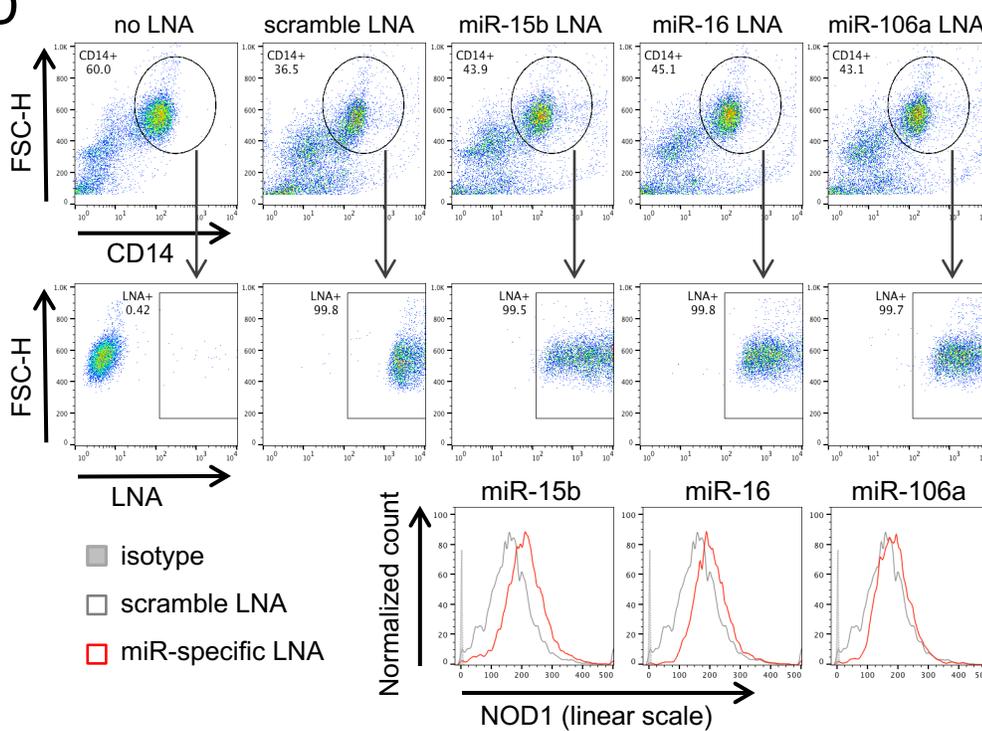
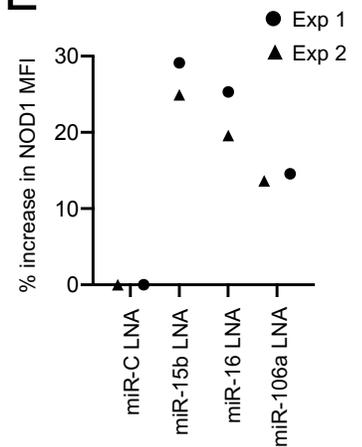
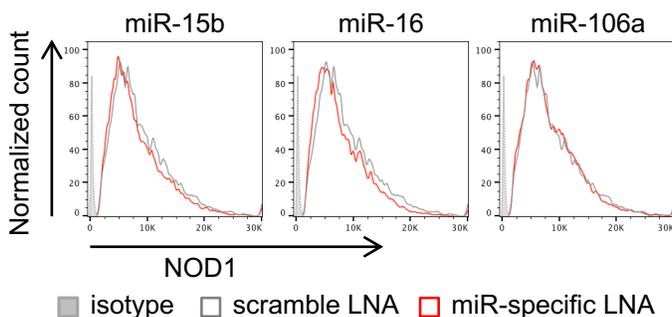
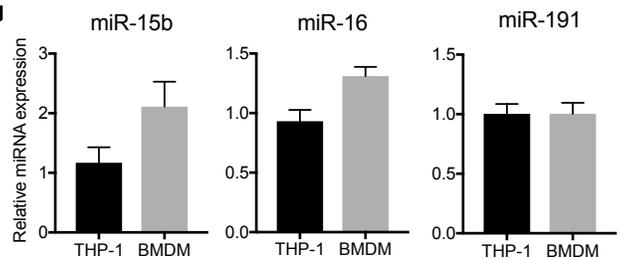
**Fig. S4. Tolerization of ligand-responsive genes after repeated NOD1 activation with ligand.**

Kinetics of *IL1B* and *TNFAIP3* expression following ligand (1  $\mu$ g C12-iE-DAP) treatment as measured by qPCR. Grey arrows indicate times of recurring ligand addition (0, 24 and 48h). Expression at each time point is represented relative to the untreated control at that timepoint (dashed line set to 1). Data are representative of three independent experiments. Error bars are mean $\pm$ SEM of triplicates and where not visible are shorter than the height of the symbol.



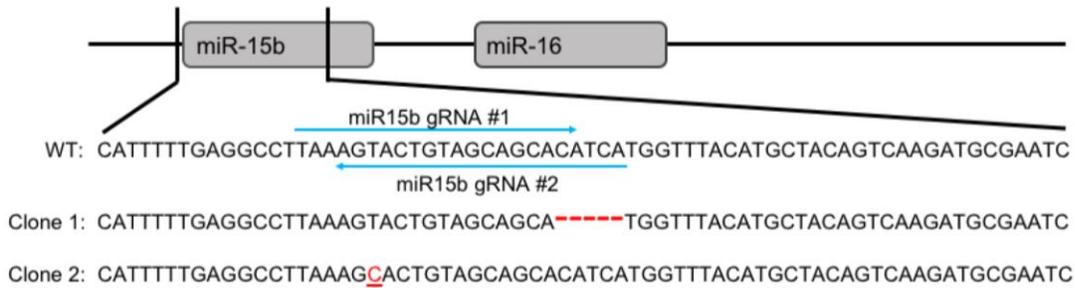
**Fig. S5. CRISPR-Cas9-mediated ablation of FLAG-NOD1 and RIPK2 in THP-1 NOD1 cells.**

(A) Immunoblot showing the absence of transgenic FLAG-tagged NOD1 protein in THP-1 NOD1 cells following CRISPR/Cas9 mediated ablation of 3X-FLAG NOD1. (B) DNA sequencing data showing CRISPR/Cas9 based ablation of FLAG-NOD1 across three independent single cell clones (KO). (C) Immunoblot showing the absence of RIPK2 in THP-1 NOD1 cells following CRISPR/Cas9 mediated ablation of RIPK2. (D) DNA sequencing data showing CRISPR/Cas9 based ablation of RIPK2 across three independent single cell clones (KO). All indels are in red. ‘----’ denotes deleted bases and ‘\*\*\*’ denotes premature sequence termination at a stop codon. NT: non-targeting gRNA. NF: gRNA targeting FLAG-NOD1. RK2: gRNA targeting RIPK2. Data are representative of three independent experiments.

**A****B****C****D****E****F****G**

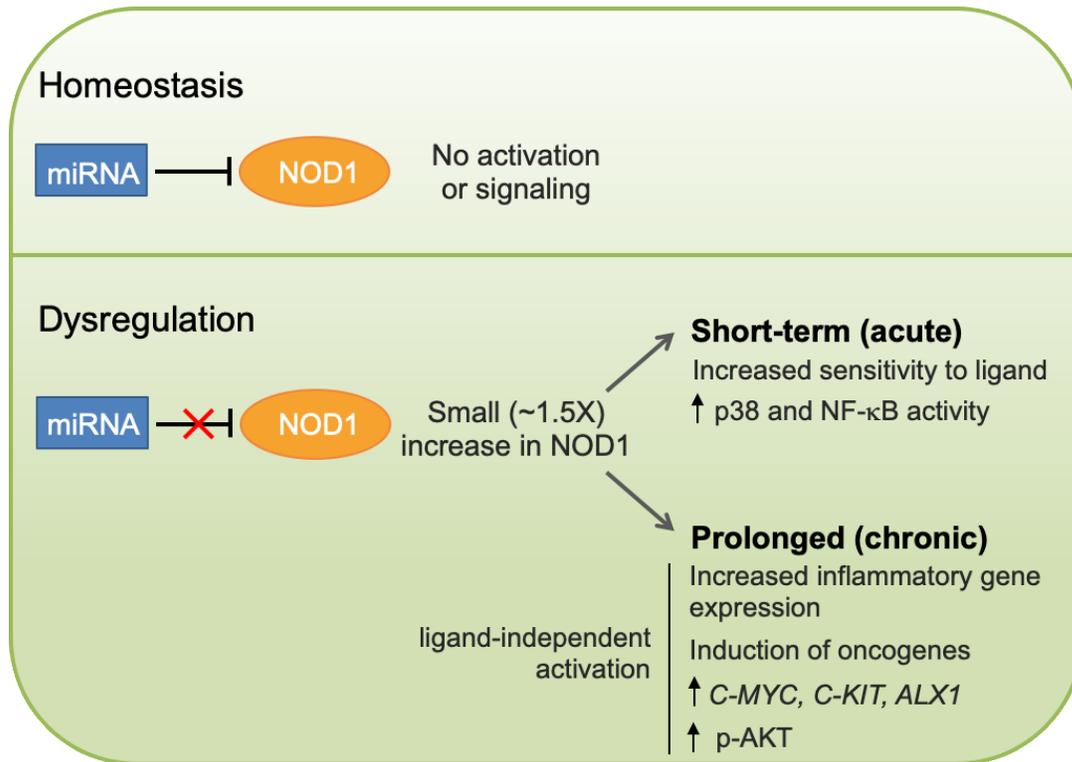
**Fig. S6. miR-15b, miR-16, and miR-106a control NOD1 expression specifically in human cells.**

(A) Predicted binding of miR-15b, miR-16 and miR-106a to the 3'-UTR of *NOD1* by TargetScan and miRanda. 7-mer seed regions at the 5' end of the miRNA that exhibit complete complementarity to the *NOD1* 3'-UTR are in red. (B) qPCR for miR-15b/16 expression in THP-1 NOD1 cells cultured with or without serum. \*\*\*\*  $p < 0.0001$ ; ns: not significant. (C) Flow cytometry plots for phosphorylated p65 in untreated THP-1 cells or cells transfected with 1  $\mu$ g C12-iE-DAP for the indicated times. (D) Representative dot plots (top) showing gating strategy and histograms (bottom) showing expression of NOD1 in CD14+ monocytes transfected with scramble LNA or LNAs targeting miR-15b, miR-16 or miR-106a. (E) Quantification of NOD1 mean fluorescence intensity (MFI) from two independent flow cytometry experiments (Exp). (F) Representative histograms from mouse BMDMs transfected with scramble LNA or LNAs targeting miR-15b, 16 and 106a. (G) qPCR showing relative levels of miR-15b, miR-16 and miR-191 expression in THP-1 cells and mouse BMDMs. Data in B-G are representative of two independent experiments. Error bars in B and G are mean  $\pm$  SEM of at least three biological replicates. p values from an unpaired t test (two-tailed) without assuming a consistent standard deviation are shown. \*\*\*\*  $p < 0.0001$ .



**Fig. S7. Validation of CRISPR-Cas9–targeted editing of miR-15b/16.**

DNA sequencing data showing CRISPR/Cas9 based targeting of miR-15b in two independent single cell clones. All indels are in red. ‘----’ denotes deleted bases. Note that miR-15b and miR-16-2 are located contiguously within an intron of the same gene (*SMC4*) and gRNAs targeting miR-15b also reduce expression of miR-16 (Fig. 7D).



**Fig. S8. Proposed consequences of a small increase in NOD1 expression.**

Under homeostatic conditions, NOD1 expression is kept in check by miRNAs (top). Dysregulation of miR-15/16 control of NOD1 (bottom) leads to a small increase in its expression that in the short-term sensitizes cells to inflammatory MAPK and NF-κB signaling in response to sub-saturating, usually ineffective concentrations of ligand and in the long-term leads to spontaneous induction of inflammatory genes and oncogenes to biologically impactful levels. Such oncogene induction may potentially be relevant in human gastric cancer, in which miR-15b/16 expression is typically reduced; the 3'-UTR of NOD1 is shortened, which may render it refractory to regulation by miRNAs (46); and NOD1 expression is increased. In this case, a chronic increase in NOD1 and sensitization to MAPK and NF-κB signaling may create an inflammatory microenvironment that drives oncogene expression (3).

**Table S1. List of primers and probes used for evaluating expression of endogenous and 3X-FLAG-tagged *NOD1* and *NLRP4* by qPCR.**

Gene	Oligo Name	Gene Name/Product	5' – 3' Sequence	Type	Tm	%GC
3X-FLAG NOD1 transgene	43-3XFLAGF	FLAG-tag-NOD1 Exon 3	ATCGATTACAAGGATGACGATGAC	Forward Primer	58	42
	NOD1-30AF126484R	FLAG-tag-NOD1 Exon 3	GGGTGAGACTCTGATGGGATTATT	Reverse Primer	58	46
	70-3XFLAG-AF126484FAMRC	FLAG-tag-NOD1 Exon 3	ACTGTGGCCCTGCTCTTCGAATTCC	6FAM-BQH1(RC)	68	56
NOD1 endogenous	NOD1-U311NM6092F	Nod1 Exon 2	GATGGCAAGAGGTGGAGATTG	Forward Primer	58	52
	NOD1-U237NM6092R	Nod1 Exon 2	TTCCCATAAAAACAGCAACTTGCTCT	Reverse Primer	59	36
	NOD1-U263NM6092CFRC	Nod1 Exon 2	CCCAGATGTTTTCTGTAATCGCCGCC	CalFluorGold540_BHQ1 (RC)	69	54
3X-FLAG NLRP4 transgene	55-3XFLAGF	FLAG-tag-NLRP4 exon 1	GATGACGATGACAAGGAATTCG	Forward Primer	58	45
	118NM134444R	FLAG-tag-NLRP4 exon 1	TGAGTTCAAGCTGCAAAGTCATTT	Reverse Primer	59	38
	NLRP4-70NM134444-3XFLAGFAMRC	FLAG-tag-NLRP4 exon 1	TGAAGCTCCTCTTTTGAGCTCCTCCAGA	6FAM-BQH1(RC)	69	48
NLRP4 endogenous	NLRP4-U261NM134444F	NLRP4 exon 1	GGTAGATGAACGCCCTGTGTTT	Forward Primer	59	50
	NLRP4-U186NM134444R	NLRP4 exon 1	CCTCCACCCCTTCTT	Reverse Primer	58	61
	NLRP4-U236NM134444CF	NLRP4 exon 1	AGGTGCCTCCAGGAGCTGAGAC	CalFluorGold540_BHQ1	69	67

**Table S2. Details of genome-wide microarray expression datasets analyzed in Fig. 3.**

GEO Platform ID	Number of samples	Number of experiments	Platform Details
GPL5175	8798	229	[HuEx-1_0-st] Affymetrix Human Exon 1.0 ST Array [transcript (gene) version]
GPL571	10377	427	[HG-U133A_2] Affymetrix Human Genome U133A 2.0 Array
GPL6480	10930	473	Agilent-014850 Whole Human Genome Microarray 4x44K G4112F (Probe Name version)
GPL96	34562	978	[HG-U133A] Affymetrix Human Genome U133A Array
GPL97	6086	142	[HG-U133B] Affymetrix Human Genome U133B Array

**Data file S1. KEGG pathways up-regulated by NOD1 and NLRP4 under conditions of low-level (– DOX) or DOX-induced expression.**

**Data file S2. Proinflammatory genes associated with a ligand-induced NOD1 response are up-regulated in cells with a small increase in NOD1 but not in cells with a small increase in NLRP4 expression.**

**Data file S3. List of miRNAs on the Affymetrix GeneChip Human 1.0 ST microarray showing correlation of miRNAs with *NOD1* and their predicted binding to the *NOD1* 3'UTR.**